

# Introduction to neutron spectroscopy

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Neutron scattering cross-section

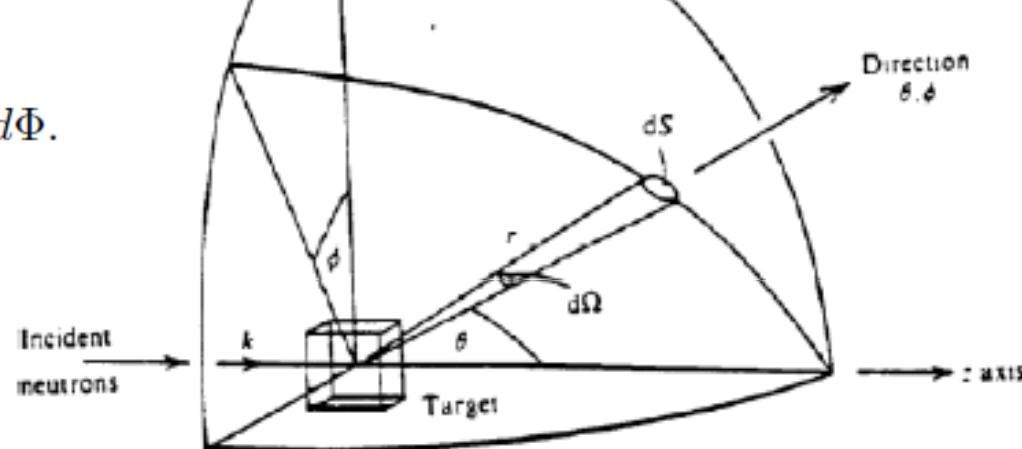
Theory

# Partial differential cross-section

## Definition:

The partial differential cross-section  $\frac{d\sigma}{d\Omega dE'}$  gives the fraction of neutrons that can be scattered by a target in a solid angle  $d\Omega$  with a final energy between  $E'$  and  $E' + dE'$ .  $\frac{d\sigma}{d\Omega dE'}$  has the dimension of an area.

$$d\Omega = \sin(\theta)d\theta d\Phi.$$

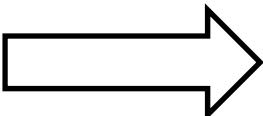


# Partial differential cross-section

Neutron:

no charge	spin $1/2$	plane wave	energy	state
		$\psi_k(\vec{r}) = \frac{1}{\sqrt{V}} e^{i\vec{k}\vec{r}}$	$E_k = \frac{\hbar^2 k^2}{2M}$	$ k, \sigma\rangle$

Target: energy state  
 $E_\lambda$   $|\lambda\rangle$

Scattering: initial state  final state  
 $|k\sigma\lambda\rangle$   $|k'\sigma'\lambda'\rangle$

Kinematic constraints

$$\begin{aligned} E_\lambda + E_k &= E_{\lambda'} + E_{k'} \\ \hbar \vec{Q} &= \hbar (\vec{k} - \vec{k}') \end{aligned}$$

# Partial differential cross-section

Probability for the incident neutron to be in the spin state  $|\sigma\rangle$

Neutron flux

$$F = \frac{1}{V} \frac{\hbar k}{M}$$

$$d\sigma = \left[ \frac{1}{F} \right] \cdot \sum_{\sigma, \sigma'} p_\sigma \sum_{\lambda, \lambda'} p_\lambda [W] \cdot [D]$$

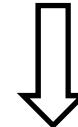
Probability for the target to be in the initial state  $|\lambda\rangle$

$$p_\lambda = \frac{e^{-\beta E_\lambda}}{\sum_\lambda e^{-\beta E_\lambda}}$$

Density of accessible states  $k'$

$$\begin{aligned} D &= \frac{V}{(2\pi)^3} d\vec{k}' : \\ &= \frac{V}{(2\pi)^3} k' \frac{M}{\hbar^2} dE_{k'} d\Omega \end{aligned}$$

# Partial differential cross-section

$$d\sigma = \left[ \frac{1}{F} \right] \cdot \sum_{\sigma, \sigma'} p_\sigma \sum_{\lambda, \lambda'} p_\lambda [W] \cdot [D]$$


□  $W$  corresponds to the probability of a transition from  $|k\sigma\lambda\rangle$  to  $|k'\sigma'\lambda'\rangle$   
This probability is given by the Fermi's golden rule:

$$W = \frac{2\pi}{\hbar} | \langle k'\sigma'\lambda' | \hat{V}(\vec{r}) | k\sigma\lambda \rangle |^2 \delta(E_\lambda + E_k - E_{\lambda'} - E_{k'})$$



Scattering potential

## Partial differential scattering cross-section

$$\frac{d\sigma}{d\Omega dE'} = \frac{k}{k'} \left( V \frac{M}{2\pi\hbar^2} \right)^2 \sum_{\sigma, \sigma'} p_\sigma \sum_{\lambda, \lambda'} p_\lambda | \langle k'\sigma'\lambda' | \hat{V}(\vec{r}) | k\sigma\lambda \rangle |^2 \delta(\hbar\omega + E_\lambda - E_{\lambda'})$$

Nuclear neutron scattering

Theory

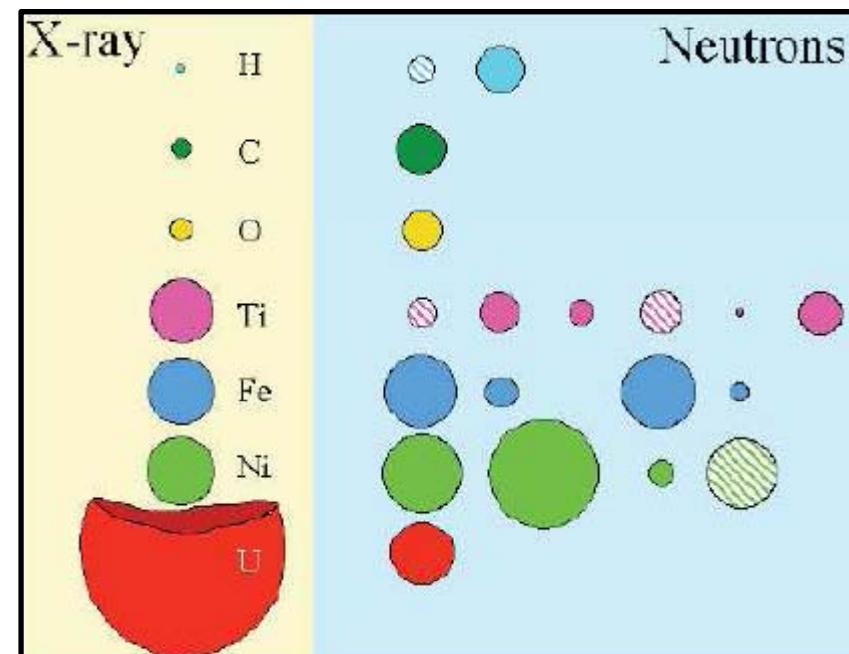
# Nuclear scattering: scattering by a single nucleus

$$\hat{V}_n(\vec{r}) = \frac{2\pi\hbar^2}{M} b\delta(\vec{r} - \vec{R}).$$



b= scattering length  
•*positive or negative*  
•*depend on the isotope*

*Scattering strength*



# Nuclear scattering: scattering by a single nucleus

- remark (1):

For a full description of the nuclear scattering, one should also include the interaction with the nuclear spin  $\hat{I}$  of the nucleus.

$$b = b_0 + \frac{1}{2}b_n \hat{I} \hat{\sigma} \quad (2.7)$$

One usually calls  $b^+$  and  $b^-$  the two values of  $b$  depending on the neutron spin state  $|+>$  or  $|->$ . Since  $J = I + \frac{1}{2}$  is a good quantum number, one obtains:

$$b^+ = b_0 + \frac{1}{2}b_n I \quad (2.8)$$

$$b^- = b_0 + \frac{1}{2}b_n (I + 1) \quad (2.9)$$

- remark (2):

There are a few nuclei that can also capture the neutron: the neutron forms a bound state with the nucleus. To account for this absorption, one introduce an imaginary part for  $b$ , called  $b''$ , related to  $\sigma_a$  the absorption scattering cross-section:

$$b'' = \frac{k_0}{4\pi} \sigma_a \quad (2.10)$$

- remark (3):

For sake of simplicity, we will not consider neither the magnetic interaction of a neutron with a nucleus or the absorption effect in the rest of this chapter.

## Nuclear scattering: scattering by a single nucleus

Non magnetic scattering :  $\sum_{\sigma, \sigma'} p_\sigma < \sigma | \sigma' > < \sigma' | \sigma > = \sum_{\sigma, \sigma'} p_\sigma \delta_{\sigma, \sigma'} = 1$

Evaluation of the term :  $< k' | \hat{V}_n(\vec{r}) | k >$

$$V \frac{M}{2\pi\hbar^2} < k' | \hat{V}_n(\vec{r}) | k > = \int d\vec{r} \psi_{k'}^\dagger(\vec{r}) \hat{V}_n(\vec{r}) \psi_k(\vec{r}) = b e^{i\vec{Q}\vec{R}}$$

→  $\frac{d\sigma}{d\Omega dE'} = \frac{k}{k'} \frac{1}{2\pi\hbar} \int_{-\infty}^{+\infty} dt e^{i\omega t} \sum_{\lambda, \lambda'} p_\lambda < \lambda | b^\star e^{-i\vec{Q}\vec{R}(0)} | \lambda' > < \lambda' | b e^{i\vec{Q}\vec{R}(t)} | \lambda >$

## Nuclear scattering: scattering by a single nucleus

Properties of the  $\delta$ -function:

$$\delta(x) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} dt e^{ixt} \quad \delta(ax) = \frac{1}{|a|} \delta(x)$$

Time dependent operator:

$$\hat{A}(t) = e^{-i\frac{Ht}{\hbar}} \hat{A}(t=0) e^{i\frac{Ht}{\hbar}}$$

Projector:

$$\sum_{\lambda} |\lambda' \rangle \langle \lambda'| = 1$$

Thermodynamic average:

$$\sum_{\lambda} p_{\lambda} \langle \lambda | \dots | \lambda \rangle = \langle \dots \rangle_T$$

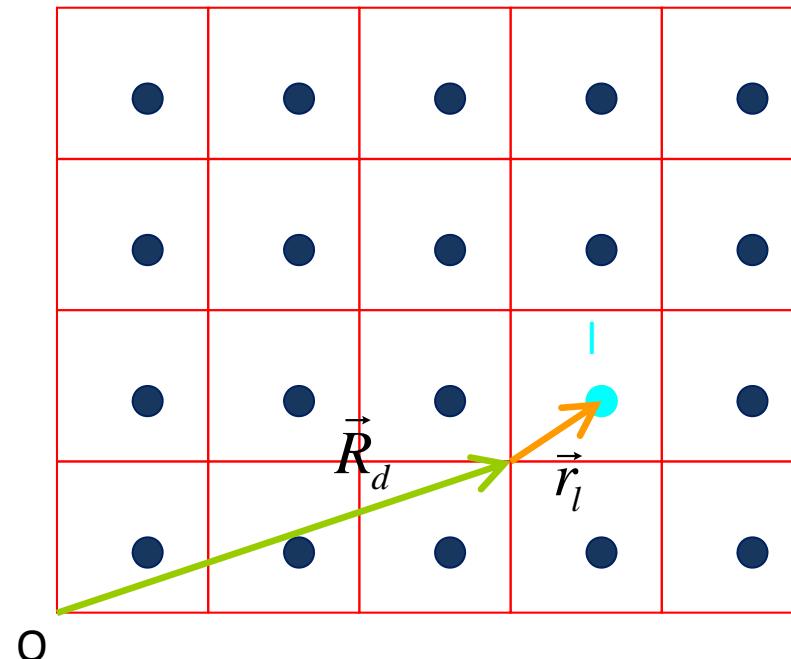

$$\frac{d\sigma}{d\Omega dE'} = \frac{k}{k'} \bar{b}^2 \frac{1}{2\pi\hbar} \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle e^{-i\vec{Q}\vec{R}(0)} e^{i\vec{Q}\vec{R}(t)} \rangle_T$$

# Nuclear scattering: crystal

A crystal is made of a periodic arrangement of nuclei. The building block of a crystal is the unit cell which contains a specific arrangement of  $n$  nuclei. For a crystal with a periodic repetition of  $N$  unit cells, the total volume  $V = Nv_0$ , where  $v_0$  is the volume of the unit cell. Each type of nuclei of the unit cell is identified by the index  $l$ . Its scattering length is  $b_l$  and its mass is  $m_l$ .

The location of a nucleus in the crystal is given by a sum of three terms:  $\vec{R}_d + \vec{r}_l + \vec{u}_{d,l}(t)$ .

- $\vec{R}_d$  is a vector of the Bravais lattice and indicates the unit cell the nucleus belongs to.
- $\vec{r}_l$  is its mean position in the unit cell.
- $\vec{u}_{d,l}(t)$  is its displacement with respect to its mean position.



# Nuclear scattering: crystal

Scattering potential :  $\hat{V}_n(\vec{r}) = \frac{2\pi\hbar^2}{M} \sum_{d=1}^N \sum_{l=1}^n b_l \delta(\vec{r} - \vec{R}_d - \vec{r}_l - \vec{u}_{d,l})$

$$\frac{d\sigma}{d\Omega dE'} = \frac{k}{k'} \sum_{d,d'} e^{-i\vec{Q}(\vec{R}_d - \vec{R}'_{d'})} \sum_{l,l'} b_l b_{l'} e^{-i\vec{Q}(\vec{r}_l - \vec{r}'_{l'})} \frac{1}{2\pi\hbar} \int_{-\infty}^{+\infty} dt e^{i\omega t} \langle e^{-i\vec{Q}\vec{u}_{d,l}(0)} e^{i\vec{Q}\vec{u}_{d',l'}(t)} \rangle_T$$

periodic arrangement of  
the lattice

Organisation of atoms  
within the unit cell

???

# Nuclear scattering: crystal

using the so-called Bloch identity

$$\langle e^{-i\vec{Q}\vec{u}_{d,l}(0)} e^{i\vec{Q}\vec{u}_{d',l'}(t)} \rangle_T = e^{-W_l} e^{-W_{l'}} e^{\langle \vec{Q}\vec{u}_{d,l}(0) \cdot \vec{Q}\vec{u}_{d',l'}(t) \rangle_T}$$

Debye-Waller factor:

$$W_l = \frac{1}{2} \langle [\vec{Q}\vec{u}_{d,l}]^2 \rangle_T$$

small displacement with respect to  $|Q|^{-1}$

$$e^{\langle \vec{Q}\vec{u}_{d,l}(0) \cdot \vec{Q}\vec{u}_{d',l'}(t) \rangle_T} \sim 1 + \langle \vec{Q}\vec{u}_{d,l}(0) \cdot \vec{Q}\vec{u}_{d',l'}(t) \rangle_T$$

Elastic term

Inelastic terms

$\vec{u}_{d,l}(t)$  is induced by the collective vibrations of nuclei, the phonon modes. One uses the label  $s$  to identify a given phononic mode and  $\hat{e}_q^s$  describes the polarisation of the mode with respect to the propagation wave vector  $\vec{q}$ .  $\hbar\omega_q^s$  is the phonon energy .  
Notice that  $\vec{q}$  belongs to the first Brillouin Zone.

# Nuclear scattering: crystal

$\vec{Q}$  is expressed as a combination of a vector  $\vec{\tau}$  of the reciprocal lattice and  $\vec{q}$  of the first Brillouin Zone:

$$\vec{Q} = \vec{\tau} + \vec{q}.$$

Notice that  $\vec{\tau}\vec{R}_d = 2\pi m$  with  $m$  integer and  $e^{i\vec{\tau}\vec{R}_d} = 1$ .  
Furthermore,  $\sum_{d,d'} e^{i\vec{Q}(\vec{R}_d - \vec{R}_{d'})} = N \frac{(2\pi)^3}{v_0} \sum_{\vec{\tau}} \delta(\vec{Q} - \vec{\tau})$

The coherent partial differential cross-section has an elastic term and an inelastic term:

$$\boxed{\frac{d\sigma}{d\Omega dE'} = \left( \frac{d\sigma}{d\Omega dE'} \right)_{elas} + \left( \frac{d\sigma}{d\Omega dE'} \right)_{inelas}}$$

# Nuclear scattering: Elastic scattering cross-section

$$\left( \frac{d\sigma}{d\Omega dE'} \right)_{elas} = N \frac{(2\pi)^3}{v_0} \sum_{\vec{\tau}} \delta(\vec{Q} - \vec{\tau}) \left| \sum_l b_l e^{-W_l} e^{-i\vec{Q}\vec{r}_l} \right|^2 \delta(\omega)$$

$k = k'$

Elastic structure factor  
➡ crystal structure

Debye-Waller factor

Bragg reflections in reciprocal space

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graph TD; A["\left( \frac{d\sigma}{d\Omega dE'} \right)_{elas}"] -- "k = k'" --> B["N \frac{(2\pi)^3}{v_0} \sum_{\vec{\tau}} \delta(\vec{Q} - \vec{\tau}) \left| \sum_l b_l e^{-W_l} e^{-i\vec{Q}\vec{r}_l} \right|^2 \delta(\omega)"]; B -- "Debye-Waller factor" --> C["Bragg reflections in reciprocal space"];
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# Nuclear scattering: Inelastic scattering cross-section

$$n_B(\omega) = \frac{1}{e^{\beta\hbar\omega} - 1} \text{ the Bose-Einstein distribution}$$

Dynamical structure factor  
 $\iff$   
phonons

$$\left( \frac{d\sigma}{d\Omega dE'} \right)_{inelas} = \frac{k'}{k} N \frac{(2\pi)^3}{v_0} \sum_{\vec{r}} \delta(\vec{Q} - \vec{r} - \vec{q}) \left| \sum_l b_l e^{-W_l} e^{-i\vec{Q}\vec{r}_l} \left( \frac{\hbar}{2m_l \omega_{\vec{q}}^s} \right)^{\frac{1}{2}} \hat{e}_{\vec{q}}^s \vec{Q} \right|^2$$

$$\times \underbrace{((1 + n_B(\omega_{\vec{q}}^s))\delta(\omega - \omega_{\vec{q}}^s) + n_B(\omega_{\vec{q}}^s)\delta(\omega + \omega_{\vec{q}}^s))}_{\text{creation}} \underbrace{n_B(\omega_{\vec{q}}^s)\delta(\omega + \omega_{\vec{q}}^s)}_{\text{annihilation}}$$

polarization

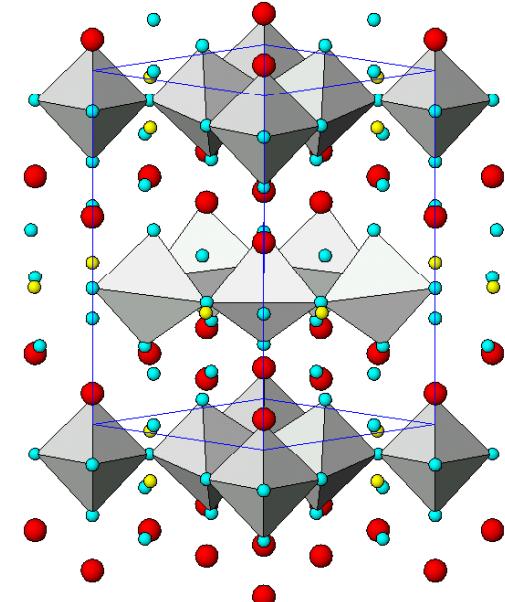
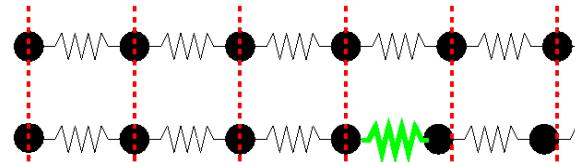
A specific choice of  $\vec{Q}$  provides detailed information on the polarization vector  $\hat{e}_{\vec{q}}^s$ .

# Lattice vibration(1)

Atoms oscillating in harmonic potentials

$$H = \frac{1}{2}M_\ell \left( \frac{d}{dt}u \right)^2 + \frac{1}{2}\Gamma u^2$$

$$F = -\text{grad } V = -\Gamma u$$



Sum of all forces on a given atom

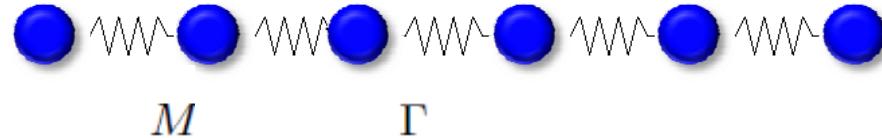
$$F_{m,\ell,\alpha} = - \sum_{n,\ell',\beta} \Gamma_{m,\ell,n,\ell'}^{\alpha,\beta} u_{n,\ell',\beta}$$

Equation of motion

$$M_\ell \frac{d^2}{dt^2} u_{m,\ell,\alpha} = F_{m,\ell,\alpha} = - \sum_{n,\ell',\beta} \Gamma_{m,\ell,n,\ell'}^{\alpha,\beta} u_{n,\ell',\beta}$$

# Lattice dynamics (2)

Mono-atomic chain



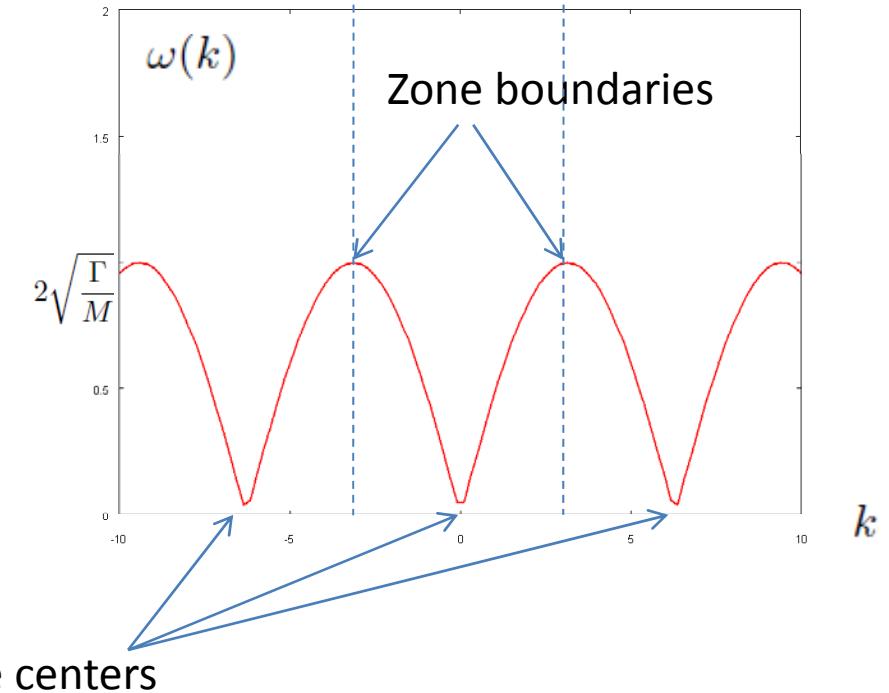
$$M \frac{d^2}{dt^2} u_m = \Gamma (u_{m+1} + u_{m-1} - 2u_m)$$

Fourier transform

$$-M\omega^2 = \Gamma (e^{ika} + e^{-ika} - 2)$$

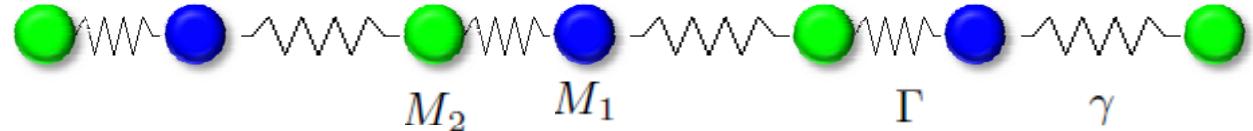
$$\omega(k) = 2\sqrt{\frac{\Gamma}{M}} \sin \frac{ka}{2}$$

Dispersion relation



# Lattice dynamics (3)

Di-atomic chain



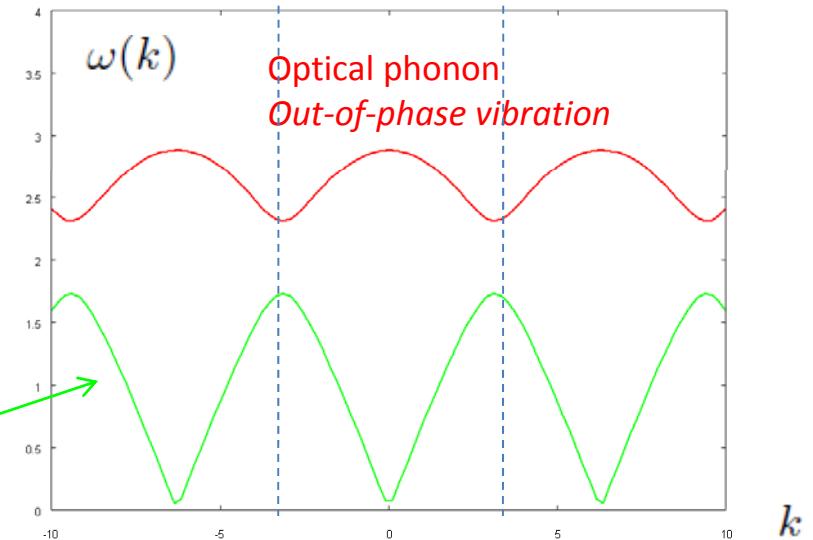
$$M_1 \frac{d^2}{dt^2} u_{m,1} = \Gamma (u_{m,2} - u_{m,1}) + \gamma (u_{m-1,2} - u_{m,1})$$

$$M_2 \frac{d^2}{dt^2} u_{m,2} = \Gamma (u_{m,1} - u_{m,2}) + \gamma (u_{m+1,1} - u_{m,2})$$

Fourier transform: 2 sets of equations

$$\begin{pmatrix} \omega^2 - \frac{\Gamma+\gamma}{M_1} & \frac{\Gamma+\gamma e^{-ika}}{\sqrt{M_1 M_2}} \\ \frac{\Gamma+\gamma e^{ika}}{\sqrt{M_1 M_2}} & \omega^2 - \frac{\Gamma+\gamma}{M_2} \end{pmatrix} \begin{pmatrix} \sqrt{M_1} u_1 \\ \sqrt{M_2} u_2 \end{pmatrix} = 0$$

Acoustic phonon  
*In-phase vibrations*



# Lattice dynamics (3)

General case

$$M_\ell \frac{d^2}{dt^2} u_{m,\ell,\alpha} = F_{m,\ell,\alpha} = - \sum_{n,\ell',\beta} \Gamma_{m,\ell,n,\ell'}^{\alpha,\beta} u_{n,\ell',\beta}$$

Normal coordinates

$$q_{k,\ell,\alpha} = \sqrt{M_\ell} u_{k,\ell,\alpha}$$

Fourier transform:

$$\frac{d^2}{dt^2} q_{k,\ell,\alpha} = - \sum_{n,\ell',\beta} \frac{\Gamma_{k,\ell,\ell'}^{\alpha,\beta}}{\sqrt{M_\ell M_{\ell'}}} q_{k,\ell',\beta}$$

$$D_{k,\ell,\ell'}^{\alpha,\beta}$$

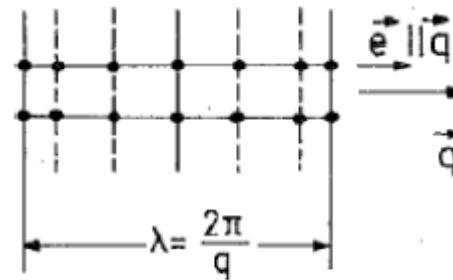
Dynamical matrix of dimension  $L \times d$

$$\omega^2 q_{k,\ell,\alpha} = D_{k,\ell,\ell'}^{\alpha,\beta} q_{k,\ell',\beta}$$

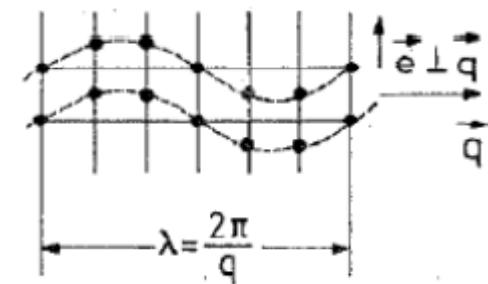
Eigenmodes = phonons = collective vibration modes

- $\omega_{k,s}^2$ 
  - square root of the phonon frequency
  - phonon polarization
- $e_k^{(s)}$

Longitudinal modes



Transverse modes



# Magnetic neutron scattering

## Theory

# Magnetic scattering potentials

Scattering potential:

$$\hat{V} = -\hat{\mu} \cdot \vec{H}$$

**Neutron:** magnetic moment operator

$$\hat{\mu} = \gamma \mu_N \hat{\sigma}$$

**Target:** distribution of internal magnetic fields

- (1) Spins of unpaired electron  
(2) Electronic orbital moments  
(3) Nuclear spins

**spin-only scattering:** Dipolar interaction with electronic spins

$$\vec{H} = \text{curl} \left( \frac{2\mu_B \hat{s} \times \vec{R}}{R^3} \right)$$

unpolarized neutron beam:

$$\sum_{\sigma} p_{\sigma} \langle \sigma | \sigma_{\alpha} \sigma_{\beta} | \sigma \rangle = \delta_{\alpha, \beta}$$

# Partial differential cross-section

prefactor

$$r_0 = 4\pi \left(\frac{M}{2\pi\hbar^2}\right) 2\gamma\mu_N\mu_B = \frac{\gamma e^2}{m_e c^2}$$

$$\frac{d\sigma}{d\Omega dE'} = \frac{k'}{k} r_0^2 \sum_{\alpha,\beta} \left( \delta_{\alpha,\beta} - \frac{Q_\alpha Q_\beta}{Q^2} \right)$$

$$\times \sum_{l',l} F_l(\vec{Q}) F_{l'}(\vec{Q}) e^{i\vec{Q}(\vec{R}_l - \vec{R}_{l'})}$$

$$\times \sum_{\lambda,\lambda'} p_\lambda \langle \lambda | \hat{s}_{l,d,\alpha} | \lambda' \rangle \langle \lambda' | \hat{s}_{l',d',\beta} | \lambda \rangle \delta(\hbar\omega + E_\lambda - E_{\lambda'})$$

Owing to the dipolar  
Interaction one can observe only  
the spin components perp. to  $\mathbf{Q}$

Magnetic form factor =  
Fourier transform of the spin  
Distribution on each atom

# Magnetic structure factor

For a single type of magnetic atom

$$\frac{d\sigma}{d\Omega dE'} = \frac{k'}{k} F(\vec{Q})^2 \sum_{\alpha, \beta} \left( \delta_{\alpha, \beta} - \frac{Q_\alpha Q_\beta}{Q^2} \right) S_{\alpha, \beta}(\vec{q}, \omega)$$
$$S_{\alpha, \beta}(\vec{Q}, \omega) = \frac{1}{N} \frac{1}{2\pi\hbar} \int_{-\infty}^{+\infty} dt e^{i\omega t} \sum_{l, l'} e^{i\vec{Q}(\vec{R}_l - \vec{R}_{l'})} < S_{\alpha, l}(0) S_{\beta, l'}(t) >_T$$

Magnetic structure factor = Fourier transform in space and time of spin-spin correlation function

# Fluctuation-dissipation theorem & detailed balance factor

Detailed balance factor

$$[1 + n_B(\omega)] = \frac{1}{1 - e^{-\beta \hbar \omega}}$$

$$S_{\alpha,\beta}(\vec{Q}, \omega)|_{\omega \neq 0} = \frac{1}{\pi} \frac{1}{1 - e^{-\beta \hbar \omega}} \text{Im} \chi_{\alpha,\beta}(\vec{Q}, \omega)$$

Imaginary part of the dynamical magnetic susceptibility

$S_{\alpha,\beta}(\vec{Q}, \omega)$  is the Fourier transform in space and time of the spin-spin correlation function. Likewise the dynamical magnetic structure factor is proportional to the imaginary part of the dynamical spin susceptibility.

# Ordered magnetic moment & spin waves

$$\frac{d\sigma}{d\Omega dE'} = \left( \frac{d\sigma}{d\Omega dE'} \right)_{elas} + \left( \frac{d\sigma}{d\Omega dE'} \right)_{inelas}$$

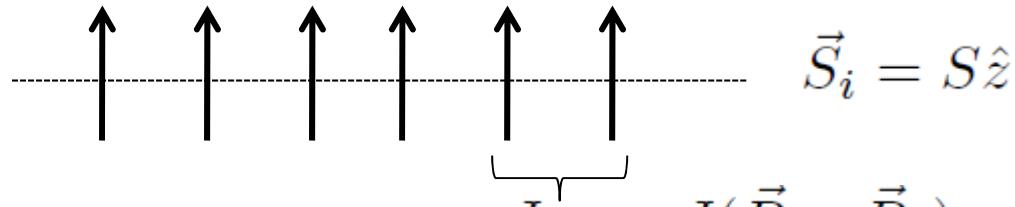
$$\left( \frac{d\sigma}{d\Omega dE'} \right)_{elas} \propto \langle S_\perp(Q)^2 \rangle_T \delta(\omega)$$

$$\left( \frac{d\sigma}{d\Omega dE'} \right)_{inelas} \propto \sum_s A_s [(1 + n_B(\omega_{q,s}))\delta(\omega - \omega_{q,s}) + (n_B(\omega_{q,s}))\delta(\omega + \omega_{q,s})]$$

In the case of a magnetic order, the elastic structure factor describes the ordered magnetic pattern and is proportional to the square of the ordered magnetic moment. As in the case of phonons, the dynamical structure factor is related to the creation or the annihilation of (collective) magnetic excitations, i.e magnons of energy  $\hbar\omega_{\vec{q}}$  (in general):  $Im\chi(\vec{Q}, \omega) \propto [\delta(\omega - \omega_{\vec{q}}) - \delta(\omega + \omega_{\vec{q}})]$ . Note that, in agreement with spin wave theory, it has to be proportional the ordered magnetic moment, whereas the static structure factor is proportional to the square of the ordered magnetic moment.

# Spin wave – Magnons (1)

Ferromagnetic chain:



Hamiltonian:  $H = - \sum_{i,j} J_{i,j} \vec{S}_i \vec{S}_j$

Spin fluctuations:  $\vec{S}_i \simeq S\hat{z} + \delta S_x \hat{x} + \delta S_y \hat{y}$

Eqn of motion:  $\frac{d\vec{S}_i}{dt} = \vec{S}_i \times g\mu_B \vec{h}_o$

Mean field approx.  $g\mu_B \vec{h}_o = 2 \sum_{i,j} J_{i,j} \vec{S}_i$

Eqn of motion:  $\frac{d\delta\vec{S}_i}{dt} = 2S \sum_j J_{i,j} (\delta\vec{S}_i - \delta\vec{S}_j) \times \hat{z}$

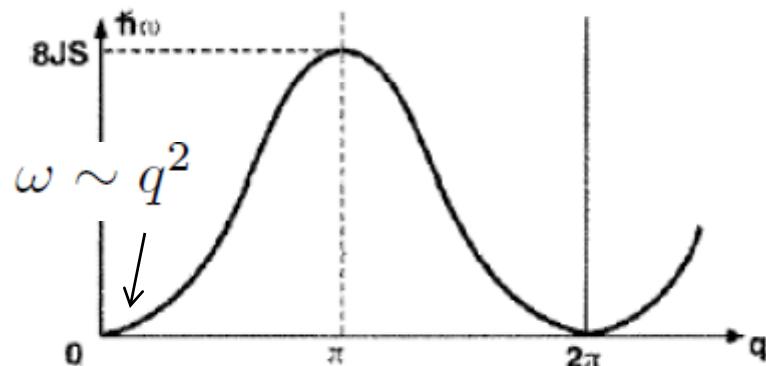
## Spin wave – Magnons (2)

Fourier components:  $\vec{S}(q) = \sum_l e^{-i\vec{q}\vec{R}_l}$  and  $J(q)$

2coupled eqns

$$\begin{aligned}\frac{d\delta S_x(q)}{dt} &= +2S[J(0) - J(q)]\delta S_y(q) \\ \frac{d\delta S_y(q)}{dt} &= -2S[J(0) - J(q)]\delta S_x(q)\end{aligned}$$

Fourier components:  $S^-(q) = S_x(q) - iS_y(q) = Ns(q)e^{i\omega t}$

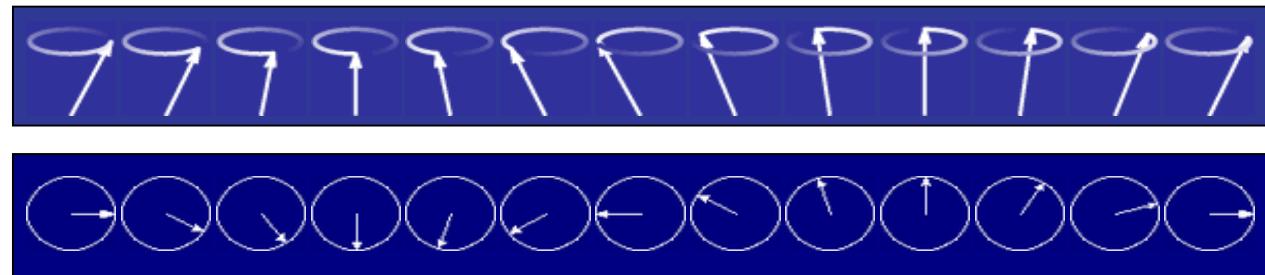


$$\boxed{\omega_q = 2S[J(0) - J(q)]}$$

$$J(q) = 2J \cos q_a$$

## Spin wave – Magnons (3)

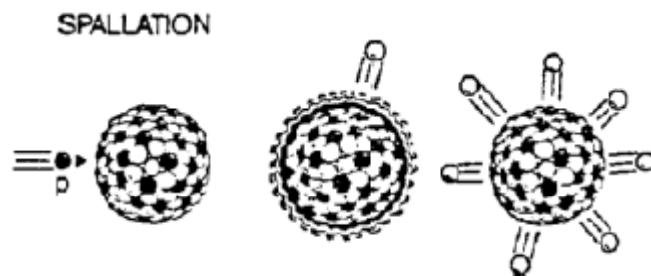
Spin wave:  $\delta \vec{S}_i = s(q)[\cos(\vec{q}\vec{R}_i + \omega t)\hat{x} + \sin(\vec{q}\vec{R}_i + \omega t)\hat{y}]$



Neutron scattering technique

Theory versus Reality

# Neutron sources



-(1) a Fission reactor: a thermal neutron is absorbed by a  $^{235}\text{U}$  nucleus, which splits into fission fragments and evaporates a few neutrons with energy of 1 to 2 MeV.

-(2) spallation: A high energy proton ( $\sim 1\text{GeV}$ ) chops pieces of a heavy nucleus. Twenty to forty neutrons are evaporated with energies of typically a few MeV

# Neutron energy and wave length

Neutrons then slow down by loosing energy through inelastic collisions with light atoms (H,D,Be).

Characteristic energy (meV).

0.1 - 10 meV : cold neutrons (moderator: liquid H<sub>2</sub> at 20 K)

10 - 100 meV : thermal neutrons

100 - 500 meV : hot neutrons (moderator: graphite at 2000 K)

**> 500 meV : epithermal neutrons**

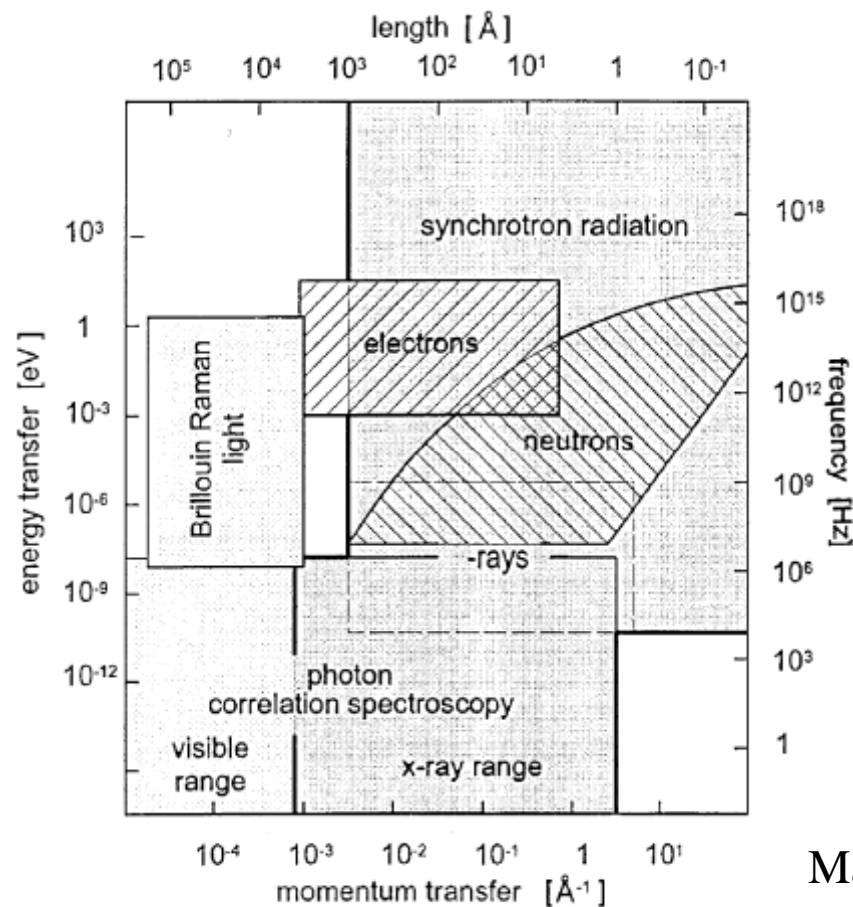
A neutron of 25 meV  $\longrightarrow$  wave length of  $\sim 1.48 \text{ \AA}$ .

the same order of magnitude as the typical inter-atomic distance in a solid.

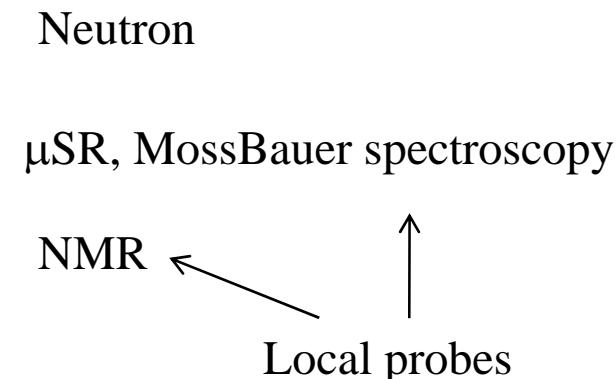
Neutron energy  $\longrightarrow$  same order of magnitude  
as the characteristic excitations in a solid such as the phonons or the magnons.

# Neutron energy and wave length

Neutron spectroscopy can be used to probe the nuclear and magnetic structures of a sample and the related nuclear and magnetic excitations. This is a bulk and non destructive measurement.



Neutron scattering vs.  
Other inelastic probes



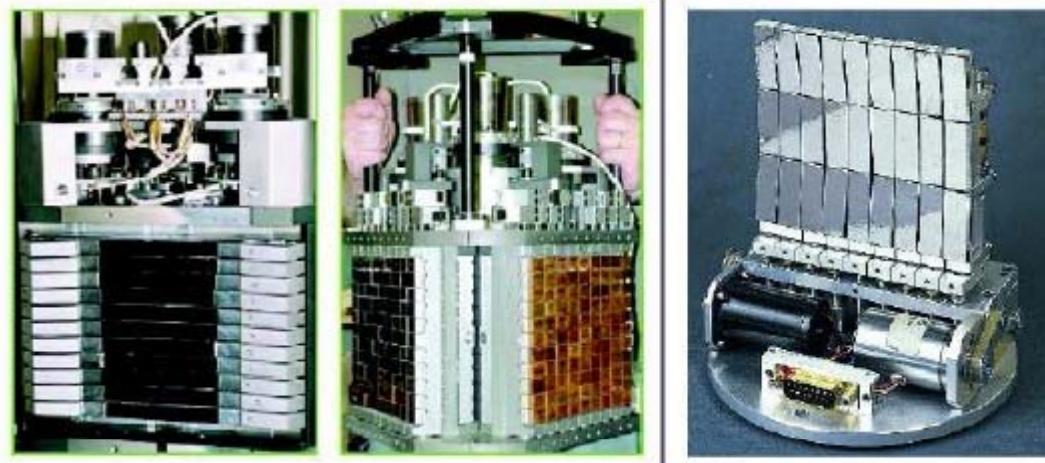
Magnetic excitations

# Monochromatic neutron beam

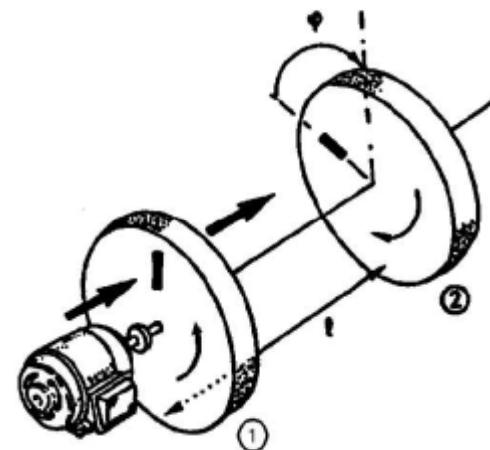
## Monochromator:

Bragg scattering on a single crystal

$$2dsin(\theta) = \lambda$$



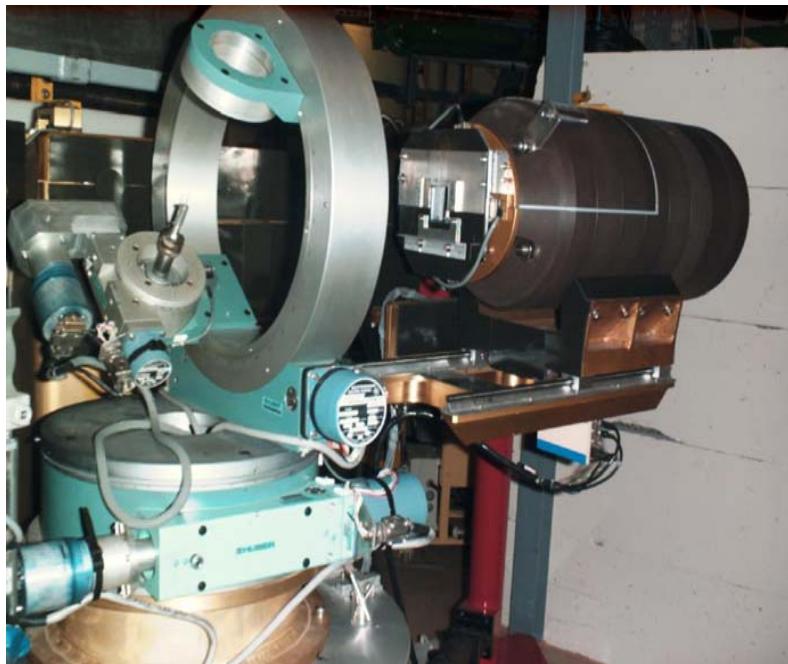
## Chopper :



# Diffraction measurements : integration on the final energy

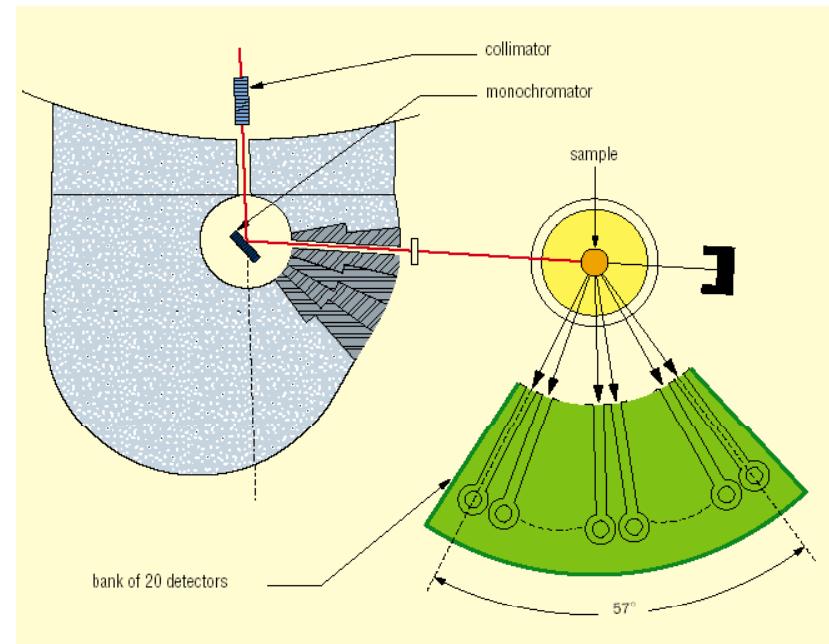
single crystals  
4-circle diffractometers

$$\vec{Q}$$



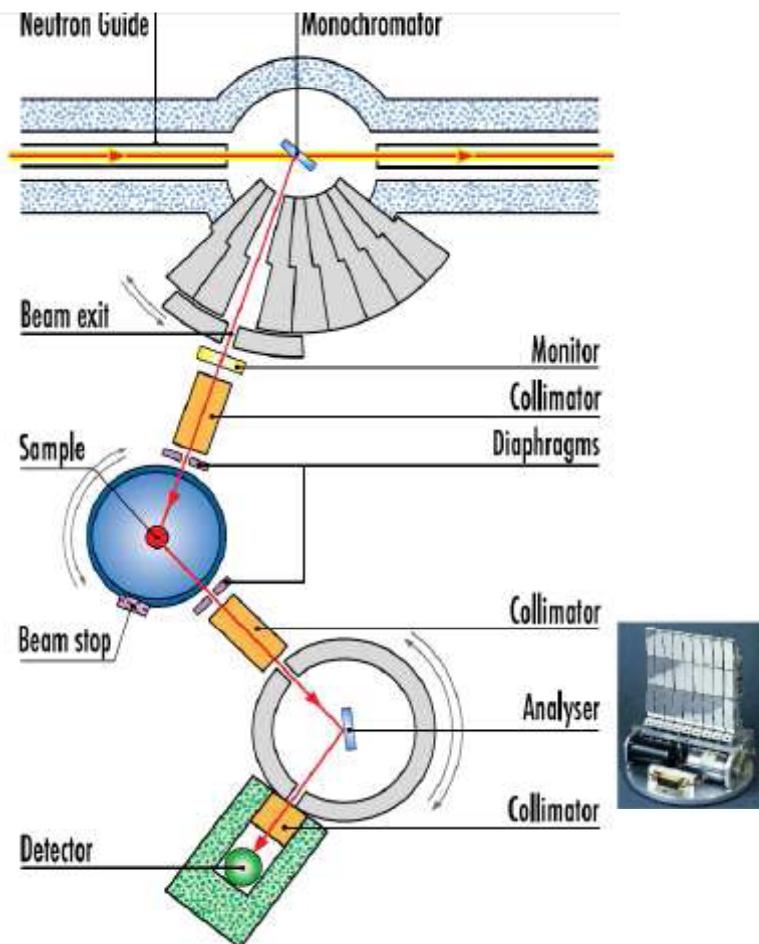
Powder sample  
Powder diffractometer

$$|\vec{Q}|$$

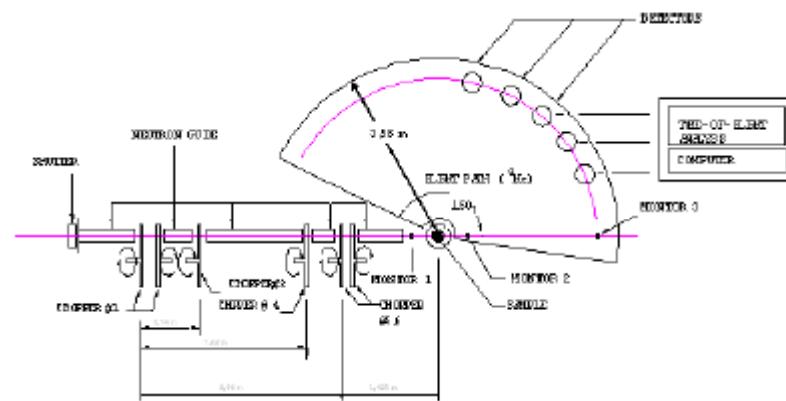


# Inelastic Measurements: analysis of the final neutron energy

## Single crystal Triple-axis spectrometer (TAS)



## Powder samples Time of flight spectrometer (TOF)



The final energy is given:  $\frac{1}{2} Mv^2$   
 $v = D/\tau$ .

D is the fixed distance between the sample and the detector (*the flight path*) and  $\tau$  the time of flight of the neutron.

# Instrumental resolution

Measured intensity proportional to  $S(\vec{Q}, \omega) \otimes R(\vec{Q}, \omega)$

The Fourier transform of the theoretical structure factor convoluted by the instrumental resolution function

$R(\vec{Q}, \omega)$  ➔ Gaussian function (4 dimensions)  
Resolution ellipsoid

Simplified description (Cooper, Nathans, 1967...)

The resolution function reads :  $R_0 \exp(-X^t A X)$

X stands for a 4D vector :  $(Q - Q_0, w - w_0)$

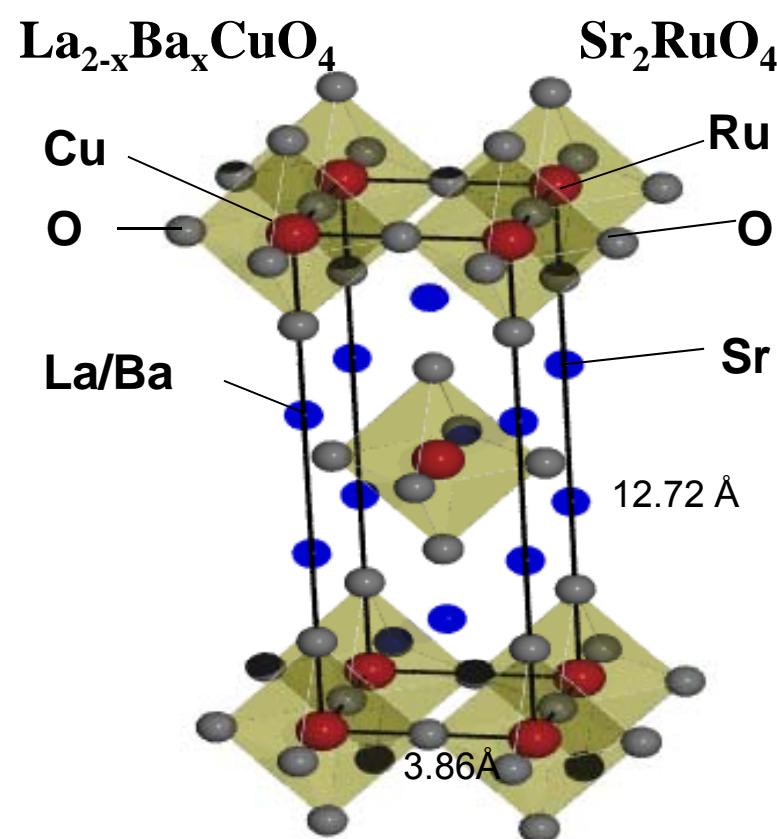
with  $R_0 = V_i V_f \sqrt{\det(A)} / \pi^2$        $V_i = p_m k_i^3 \cot(\theta_m)$  et  $V_f = p_a k_f^3 \cot(\theta_a)$

$V_i$  provides the same information as the monitor      fixed kf

Neutron scattering technique

Application

# $\text{Sr}_2\text{RuO}_4$



Superconductivity   $T_C \leq 1.5\text{K}$  (1994)

Anisotropic 3-dim. metal   
 $R_C/R_{ab} \approx 450$   
 $\rho_{ab} < 1 \mu\Omega\text{cm}$

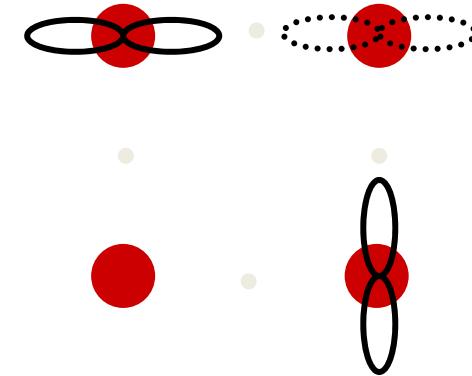
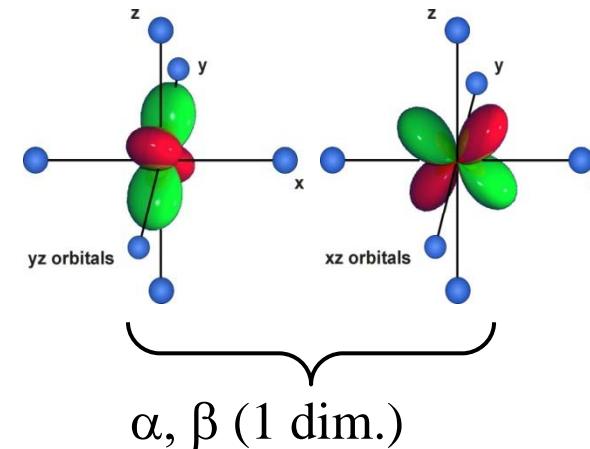
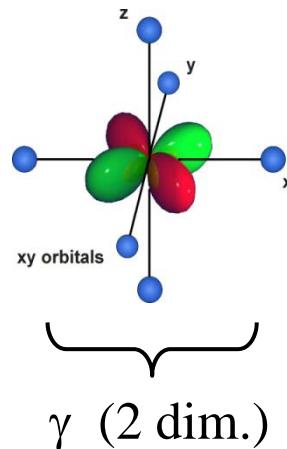
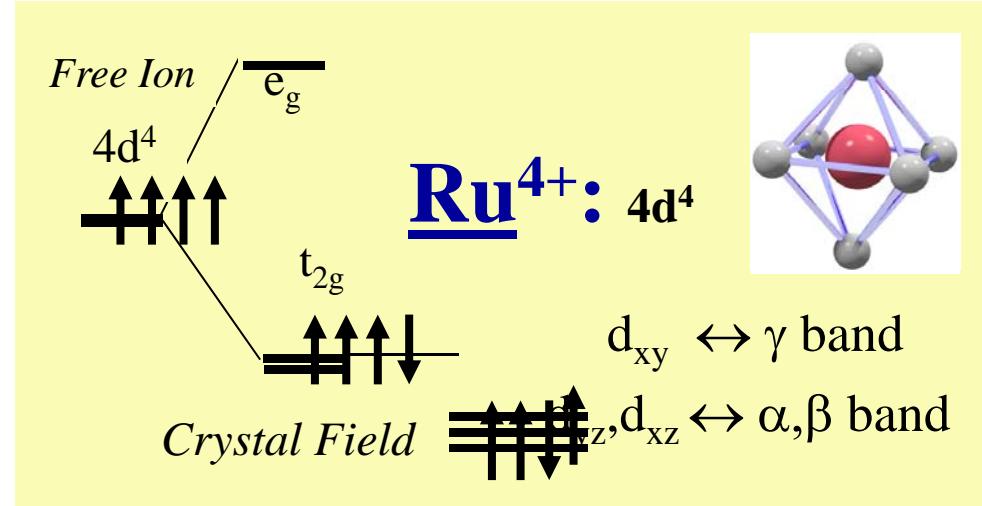
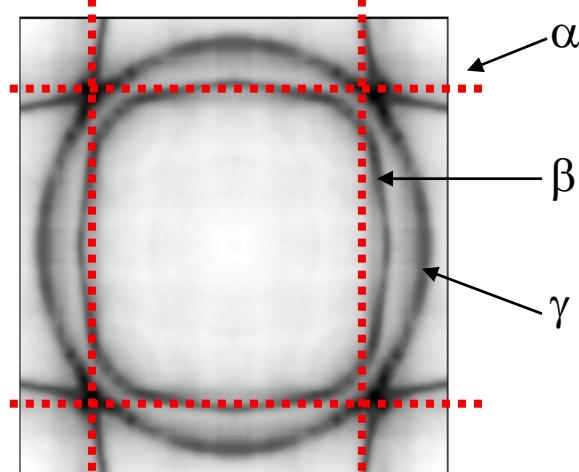
Pauli-Paramagnetism

Fermi liquid (low T)

Odd parity (p-wave)  
Spin-triplet pairing

# $\text{Sr}_2\text{RuO}_4$ – electronic structure

Fermi surface: 3 sheets

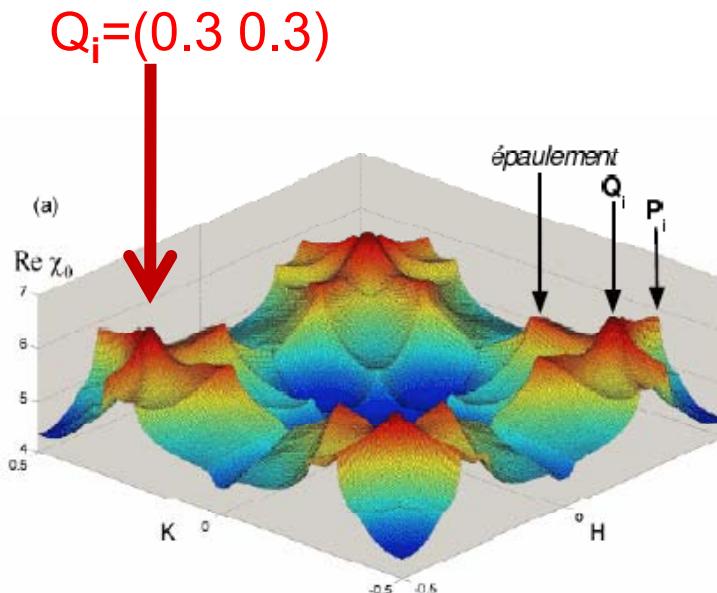
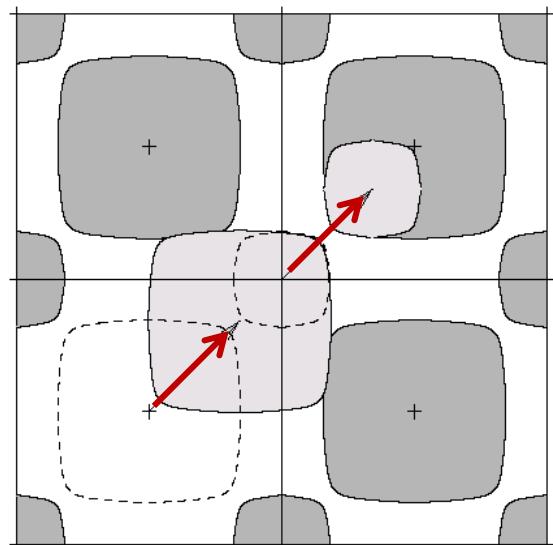


# $\text{Sr}_2\text{RuO}_4$ – dynamical nesting

The non interaction spin or charge susceptibility is given by the Lindhardt function

$$\chi_0(q, \omega) = \sum_{k,i,j} \frac{M_{k;(k+q)}^{i,j} [f(\varepsilon_{k,i}) - f(\varepsilon_{(k+q),j})]}{\varepsilon_{(k+q),j} - \varepsilon_{q,i} - \hbar\omega + i0^+} \quad i, j = \alpha, \beta, \gamma \\ M = 0/1$$

Strong nesting effect between the quasi-1d  $\alpha$  and  $\beta$  bands



unpolarized INS measurements  
(single crystals)

Lattice dynamics

# $\text{Sr}_2\text{RuO}_4$ – lattice dynamics

scattered intensity :

$$I \propto \frac{1}{\omega} \cdot (n(\omega) + 1) \left\{ \sum_d \frac{b_d}{\sqrt{m_d}} \cdot e^{(-W_d + i\mathbf{Q} \cdot \mathbf{r}_d)} \cdot (\mathbf{Q} \cdot \mathbf{e}_d) \right\}^2$$

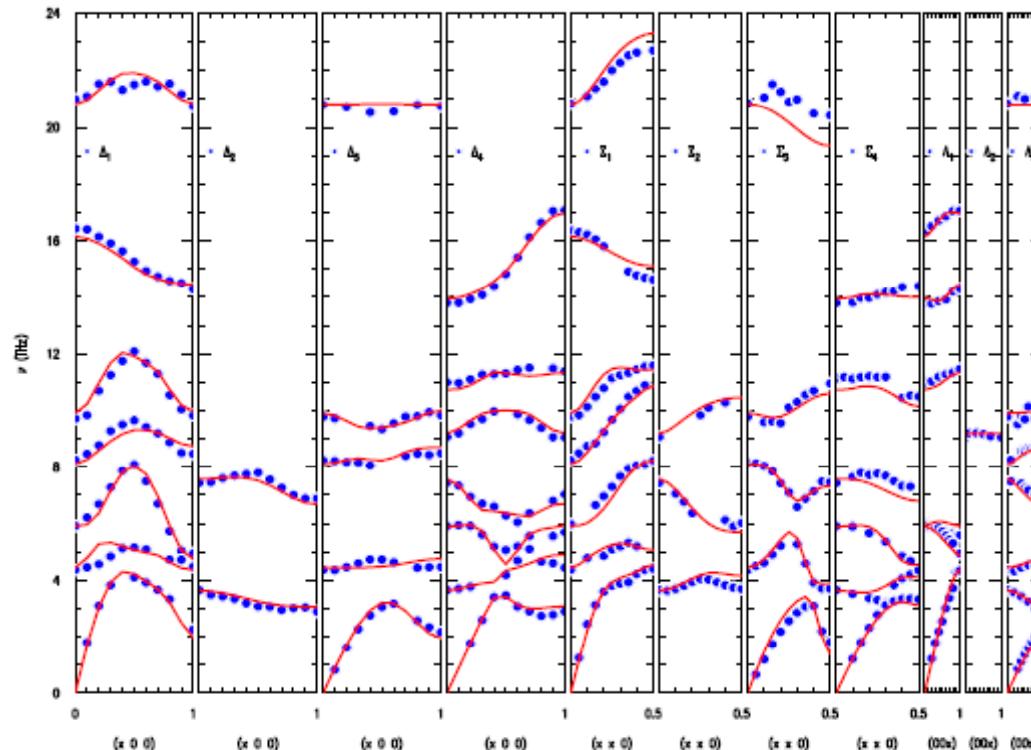
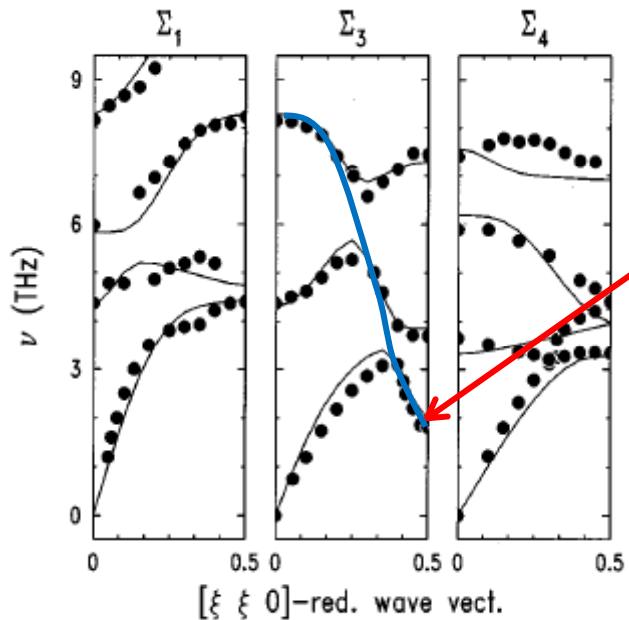


FIG. 2: (color online) Phonon dispersion in  $\text{Sr}_2\text{RuO}_4$ , symbols denote the measured frequencies and lines those calculated with the lattice dynamical model. We show the phonon dispersion along the main symmetry directions, [100], [110] and [001], separated according to the irreducible representations, see text and Table I.

Calculated and measured dispersion curves

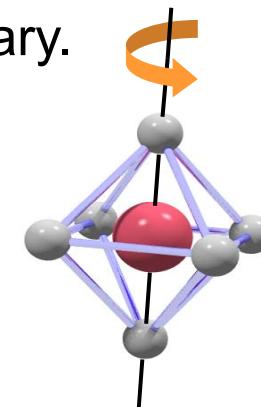
# $\text{Sr}_2\text{RuO}_4$ – lattice dynamics

Lattice instability:



$\Sigma_3$  branch, which ends at the zone boundary  $(0.5, 0.5, 0)$  in the mode corresponding to the  $\text{RuO}_6$  octahedron rotation around c axis, exhibits a sharp drop at the zone boundary.

Sr<sub>2</sub>RuO<sub>4</sub> is close to rotational instability



Kohn anomaly:

No phonon anomaly detected at the planar nesting wave vector  $\mathbf{Q}_i = (0.3, 0.3)$

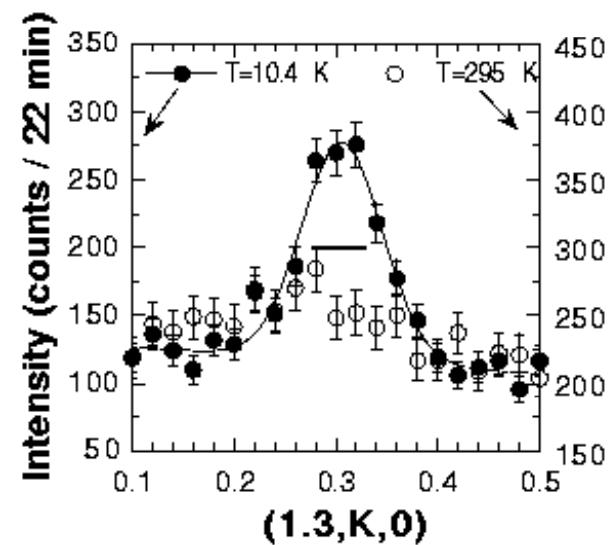
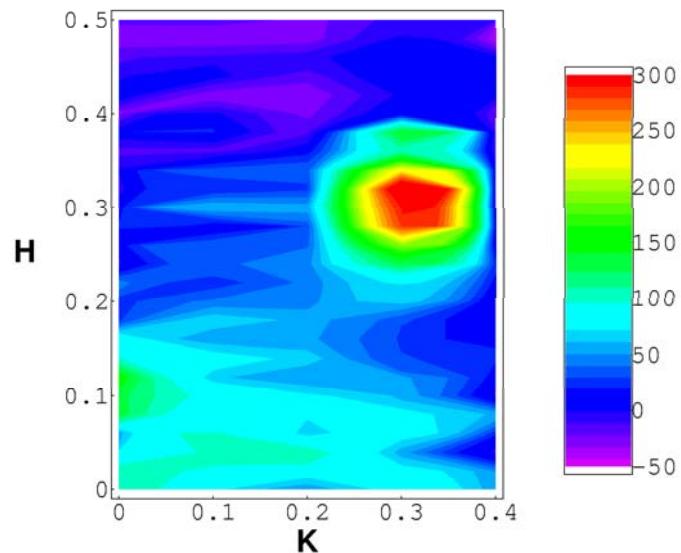
unpolarized INS measurements  
(single crystals)

Spin dynamics

# $\text{Sr}_2\text{RuO}_4$ –spin dynamics

Magnetic scattering:

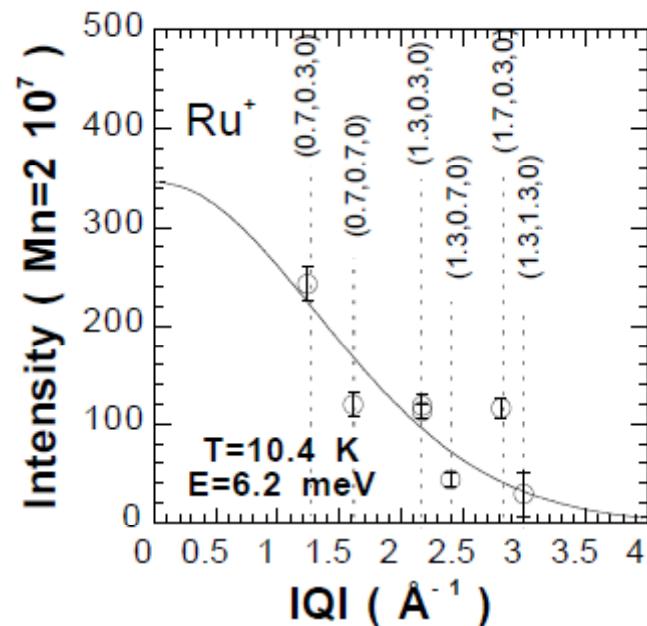
$$\frac{d^2\sigma}{d\Omega d\omega} = r_0^2 \frac{2F^2(\mathbf{Q})}{\pi(g\mu_B)^2} \frac{\chi''(\mathbf{Q}, \omega)}{1 - \exp(-\hbar\omega/k_B T)}$$



Magnetic response close to the planar nesting wave vector  
 $\mathbf{Q}_i = (0.3, 0.3)$

$$\Delta q = 0.13 \pm 0.02 \text{ \AA}^{-1}$$

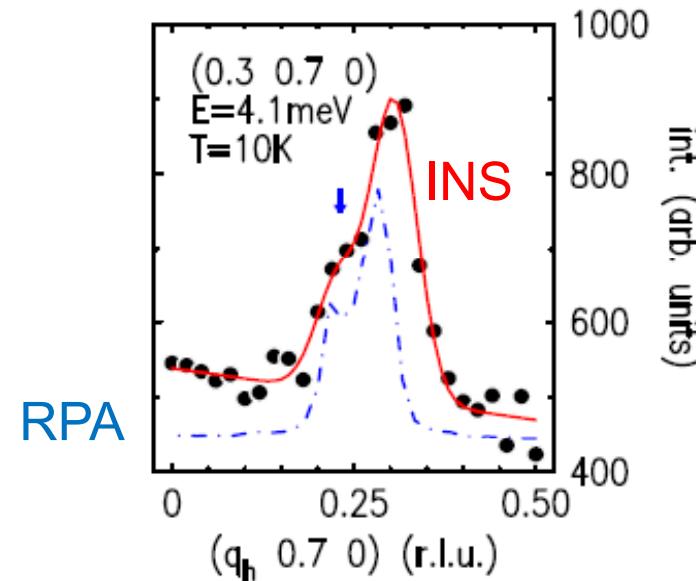
# $\text{Sr}_2\text{RuO}_4$ –spin dynamics



2D spin fluctuations

Intensity along c controlled by  $F(Q)^2$  only

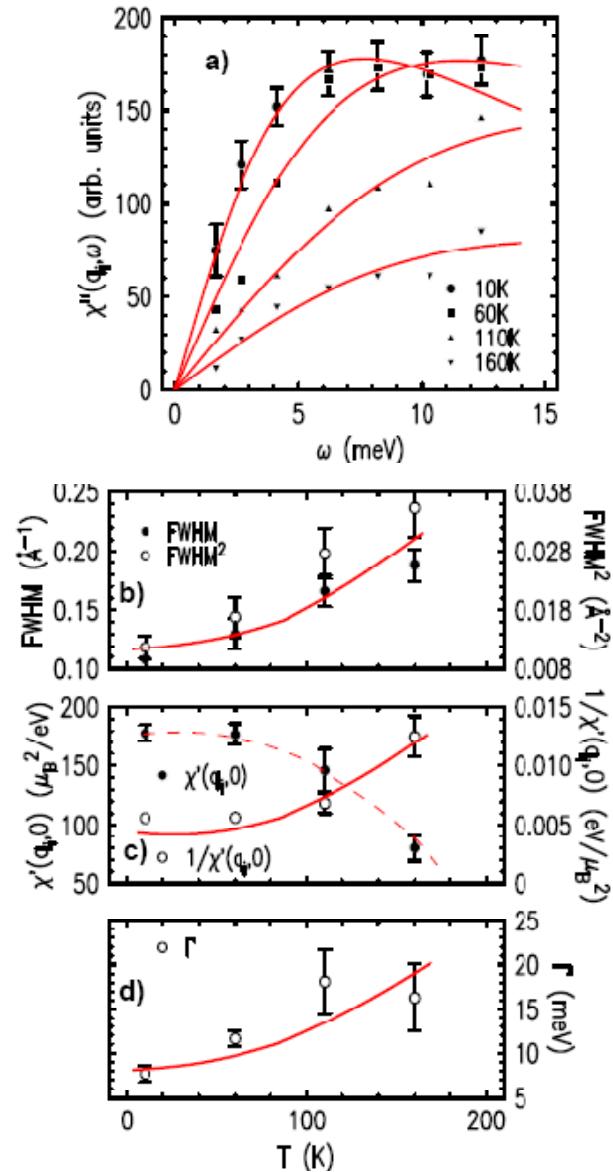
Intensity decreases at large  $|Q|$



INS measurements in agreement with RPA calculations

$$\chi(q, \omega) = \frac{\chi_0(q, \omega)}{1 - \frac{I(q)}{2(\mu_B)^2} \chi_0(q, \omega)}$$

# $\text{Sr}_2\text{RuO}_4$ – near a SDW instability



$$\chi''(\mathbf{q}_i, \omega) = \chi'(\mathbf{q}_i, 0) \frac{\Gamma \omega}{\omega^2 + \Gamma^2}$$

close to magnetic instability

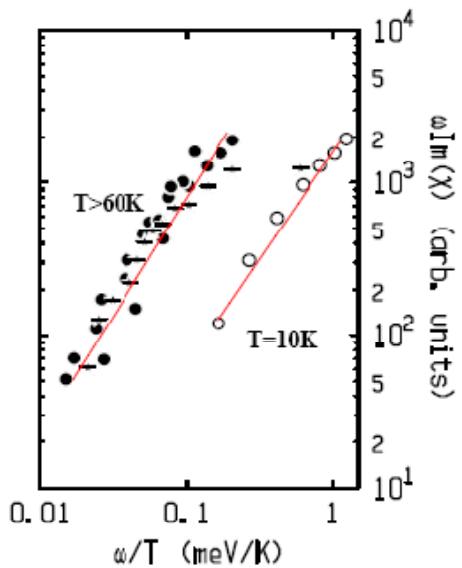
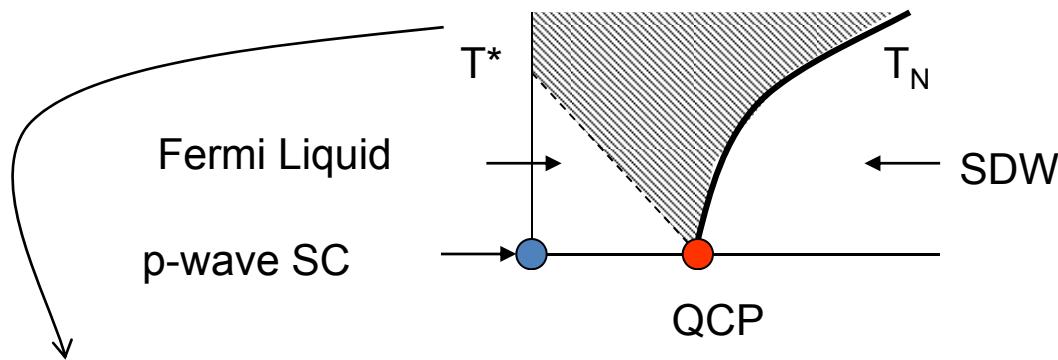
$$1 - I(\mathbf{q}_i) \chi_0(\mathbf{q}_i) = \delta \rightarrow 0$$

$$1/\chi_0(\mathbf{q}_i) \sim \Gamma \sim \kappa^2 \sim \delta$$

$\delta = 0.03$  at low T

Sidis et al. PRL 83, 3320 (1999)  
 Braden et al. PRB 66, 064522 (2002)

# $\text{Sr}_2\text{RuO}_4$ – origin of non Fermi liquid $\rho$



$T > T^*$   $\omega/T$  scaling

$$\chi''(\mathbf{q}_i, \omega, T) \propto T^{-\alpha} g\left(\frac{\omega}{T}\right)$$

Non Fermi liquid :  $T > T^*$  ,  $\rho \sim T$

Fermi liquid :  $T < T^*$  ,  $\rho \sim T^2$

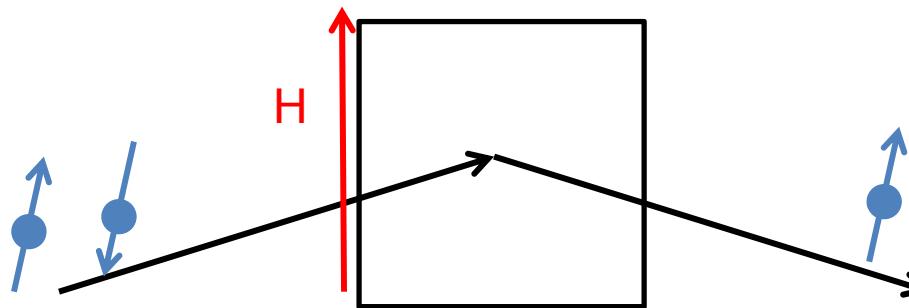
Braden et al. PRB 66,  
064522 (2002)

# Polarized INS measurements (single crystals)

## Spin dynamics

# $\text{Sr}_2\text{RuO}_4$ – polarized INS measurements

Spin polarized neutron beam



Heusler monochromator / analyzer

Single domain ferromagnet

$$|b_N| = |b_m|$$

Interference between nuclear and magnetic scattering

$$|b_N + b_m|^2 = 0$$

$$|b_N - b_m|^2 \neq 0$$

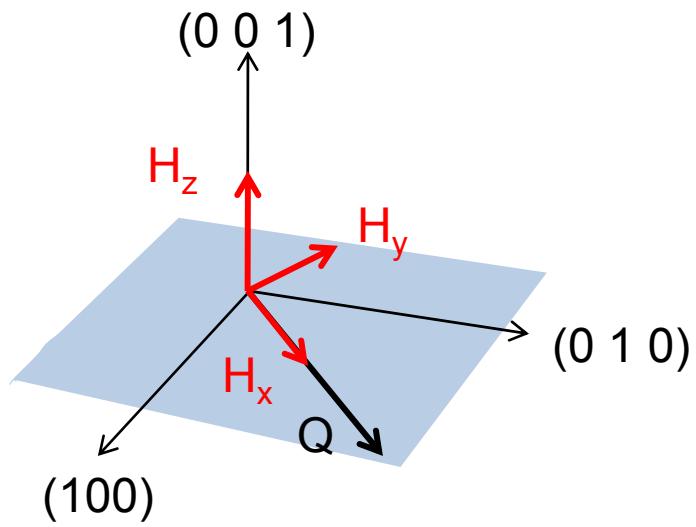
A magnetic guide field keep the spin polarization and defines the polarization  $P$  of neutron spin

# $\text{Sr}_2\text{RuO}_4$ – polarized INS measurements

Polarization:

Nuclear scattering :  $\xrightarrow{\hspace{1cm}}$  non spin flip scattering

Magnetic scattering: spin components: (i)  $\perp Q$  , (ii)  $\perp P$   $\xrightarrow{\hspace{1cm}}$  spin flip scattering



$$H_x : P \parallel Q$$

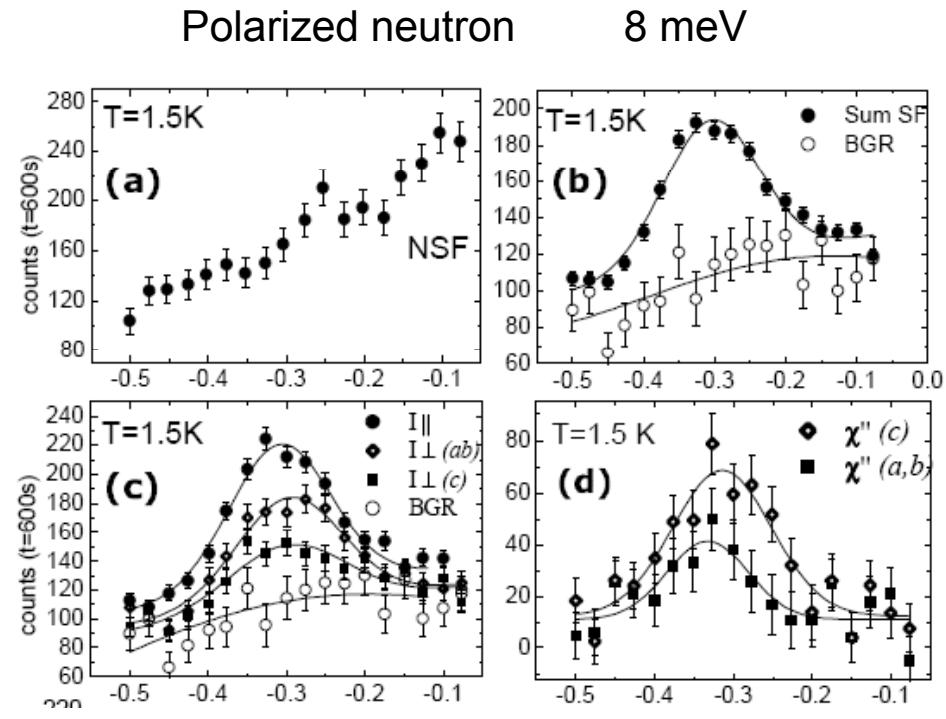
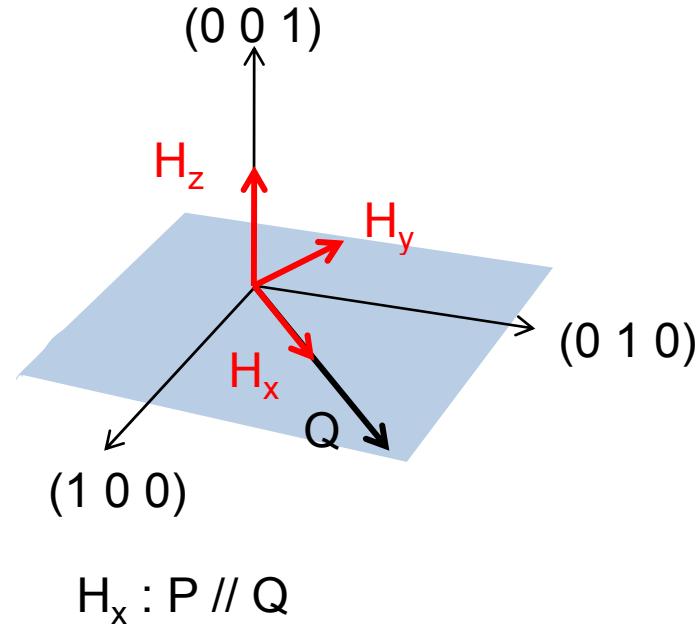
Spin flip channel :

$$I_x \sim \text{Im } \chi_{a,b} + \text{Im } \chi_c + Bg$$

$$I_y \sim \text{Im } \chi_c + Bg$$

$$I_z \sim \text{Im } \chi_{a,b} + Bg$$

# Sr<sub>2</sub>RuO<sub>4</sub> – spin anisotropy



Anisotropy of IC spin fluctuations at Qi

spin-orbit coupling

+ strong correlation

$$\chi_c(q_i) / \chi_{a,b}(q_i) > 1$$

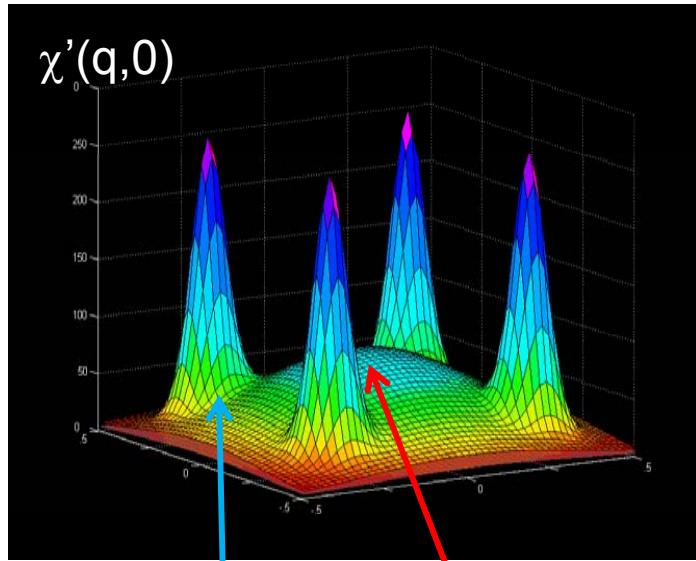
Ng et al. J. Phys. Soc. Jpn, (2002).  
Manke et al., PRB (2002).

$$\chi_c(q_i) / \chi_{a,b}(q_i) \sim 1.6$$

anisotropic spin fluctuations can  
favor spin triplet SC

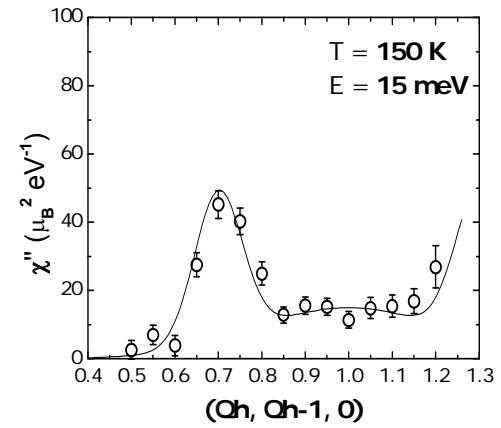
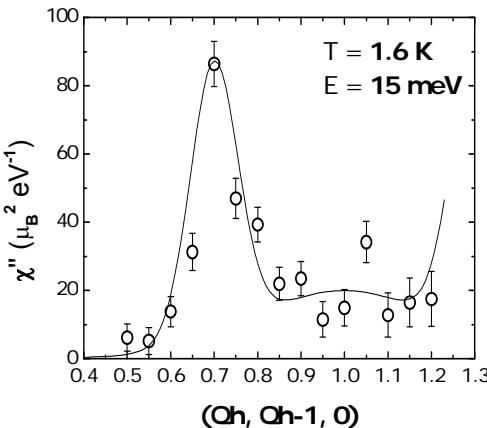
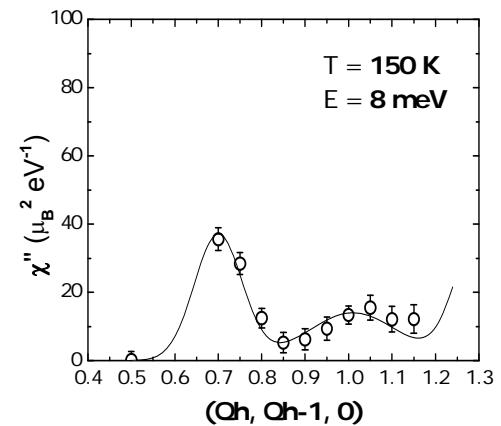
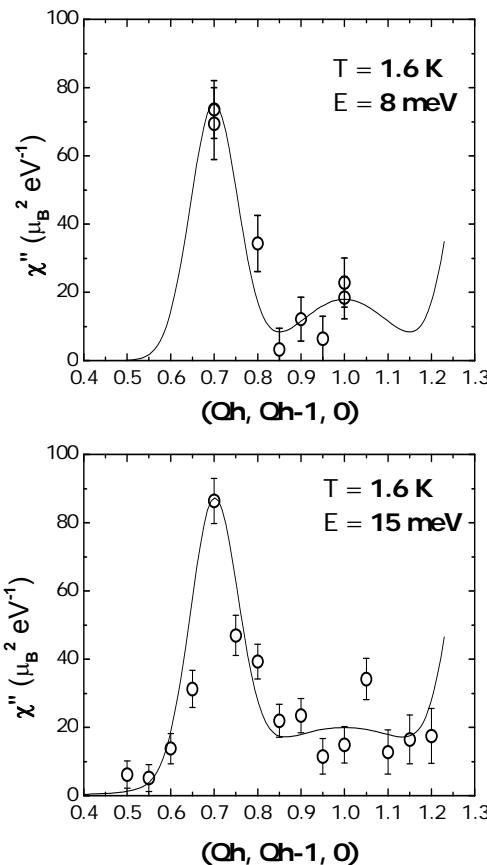
Sato et al. J. Phys. Soc. Jpn, (2000).  
Kawabara et al., PRB (2000).

# $\text{Sr}_2\text{RuO}_4$ – full spin susceptibility



Broad and weak excitations  
around  $Q=0$  ( $\Gamma \sim 20$  meV)  
 $\gamma$  band

sharp and strong excitations  
around  $Q_i$  ( $\Gamma \sim 7$  meV)  
 $\alpha, \beta$  bands



$H_x : P // Q$  – spin flip channel

INS measurements

Versus

NMR  
Specific heat  
ARPES

# Sr<sub>2</sub>RuO<sub>4</sub> – NMR – local probe

Spin-lattice relaxation

$$(1/T_1 T) \simeq \frac{k_B \gamma_n^2}{(g\mu_B)^2} \sum_q |A(\mathbf{q})|^2 \left. \frac{\text{Im}\chi(\mathbf{q}, \omega)}{\omega} \right|_{\omega \rightarrow 0}$$

INS

Hyperfine coupling

$$^{17}\text{O}, |A(\mathbf{q})|^2 = \Lambda^2 [1 + 1/2(\cos(\frac{2\pi}{a}q_x) + \cos(\frac{2\pi}{a}q_y))] \quad (\Lambda = 33 \text{ kOe}/\mu_B) \\ ^{101}\text{Ru}, A(\mathbf{q}) = -299 \text{ kOe}/\mu_B$$

1.6 K	<sup>101</sup> (1/T <sub>1</sub> T)	<sup>17</sup> (1/T <sub>1</sub> T)	150 K	<sup>101</sup> (1/T <sub>1</sub> T)	<sup>17</sup> (1/T <sub>1</sub> T)
FM	5.6	0.33		FM	4.9
IC	12.2	0.38		IC	7.6
FM+IC	17.8	0.71		FM+IC	12.4
<b>NMR</b>	15	0.8		<b>NMR</b>	8.5

# $\text{Sr}_2\text{RuO}_4$ – specific heat

$$\gamma = C/T.$$

Spin fluctuation contribution  
At low temperature

$$\gamma_{sf} = \frac{1}{N} \frac{C_{sf}}{T} = \frac{1}{N} \frac{\pi k_B^2}{\hbar} \sum_{\mathbf{q}} \frac{1}{\Gamma(\mathbf{q})}$$

$$37.5 \frac{\text{J.meV}}{\text{mol.K}^2}$$

$$4 \frac{\text{J.mcV}}{\text{mol.K}^2}$$

IC

$$33 \frac{\text{J.meV}}{\text{mol.K}^2}$$

FM

# $\text{Sr}_2\text{RuO}_4$ – charge excitations - ARPES

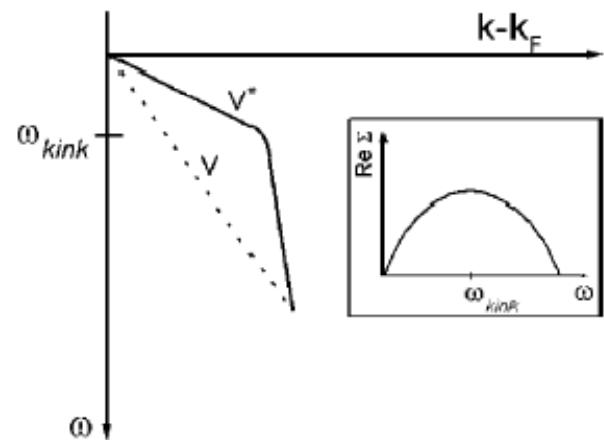
ARPES: spectral function of quasiparticles

$$A(k, \omega) = -\frac{1}{\pi} \text{Im}G(k, \omega)$$

Scattering: self-energy

$$G(k, \omega)^{-1} = G_o(k, \omega)^{-1} - \Sigma(k, \omega)$$

$$\Sigma(k, \omega) = \frac{1}{\pi^2} \frac{1}{N} \sum_q \int_{-\infty}^{\infty} d\Omega d\nu V_{qk}^2 \text{Im}\chi(q, \Omega) \text{Im}G(k + q, \nu) \times \left\{ \frac{n(\Omega) + f(\nu)}{\omega + \Omega - \nu + i\epsilon} \right\}$$



collision with bosonic modes  
(phonons, spin excitations,...)

This leads to the “kink condition”

$$\omega_{kink} \approx E_{\mathbf{k}-\mathbf{Q}} + \Omega$$

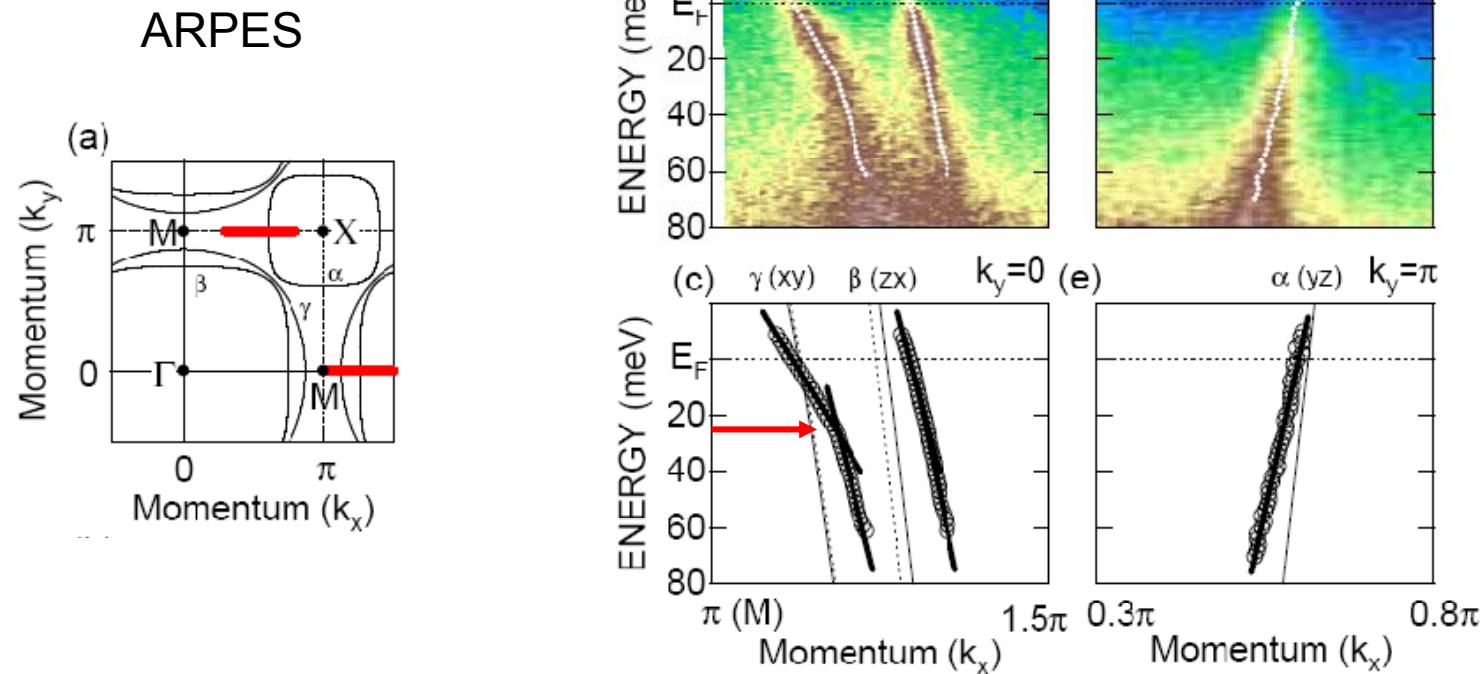


$\Omega$  : characteristic energy of bosonic modes ( phonons or spin excitations)

Manske et al., PRB 67, 134520 (2003)  
Manske et al., PRB 65, 220502 (2002)

# $\text{Sr}_2\text{RuO}_4$ – charge excitations - ARPES

Iwasawa et al., condmat/0508312



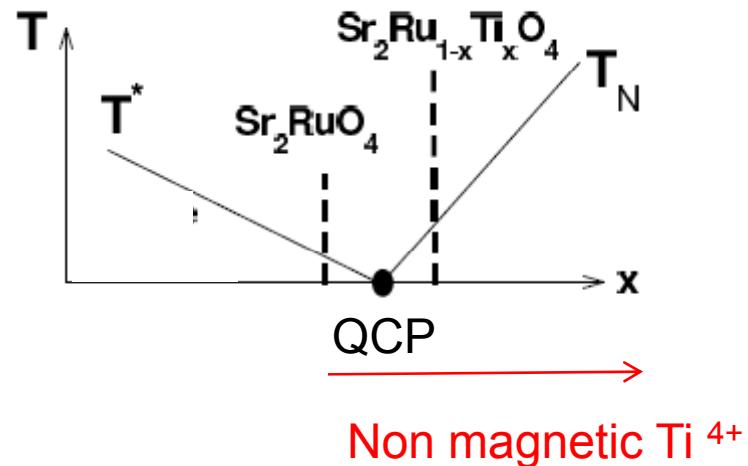
observed *Kink* in the  $\gamma$  band  
 $\omega_{\text{sf}} \sim 25 \text{ meV}$

Role of the *pseudo-FM* fluctuations ?..... Role of phonons?

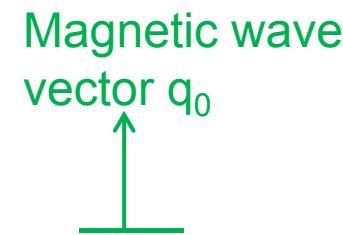
Unpolarized elastic measurements  
(single crystal)

Magnetic order

# $\text{Sr}_2\text{RuO}_4$ – order from disorder



Non magnetic  $\text{Ti}^{4+}$



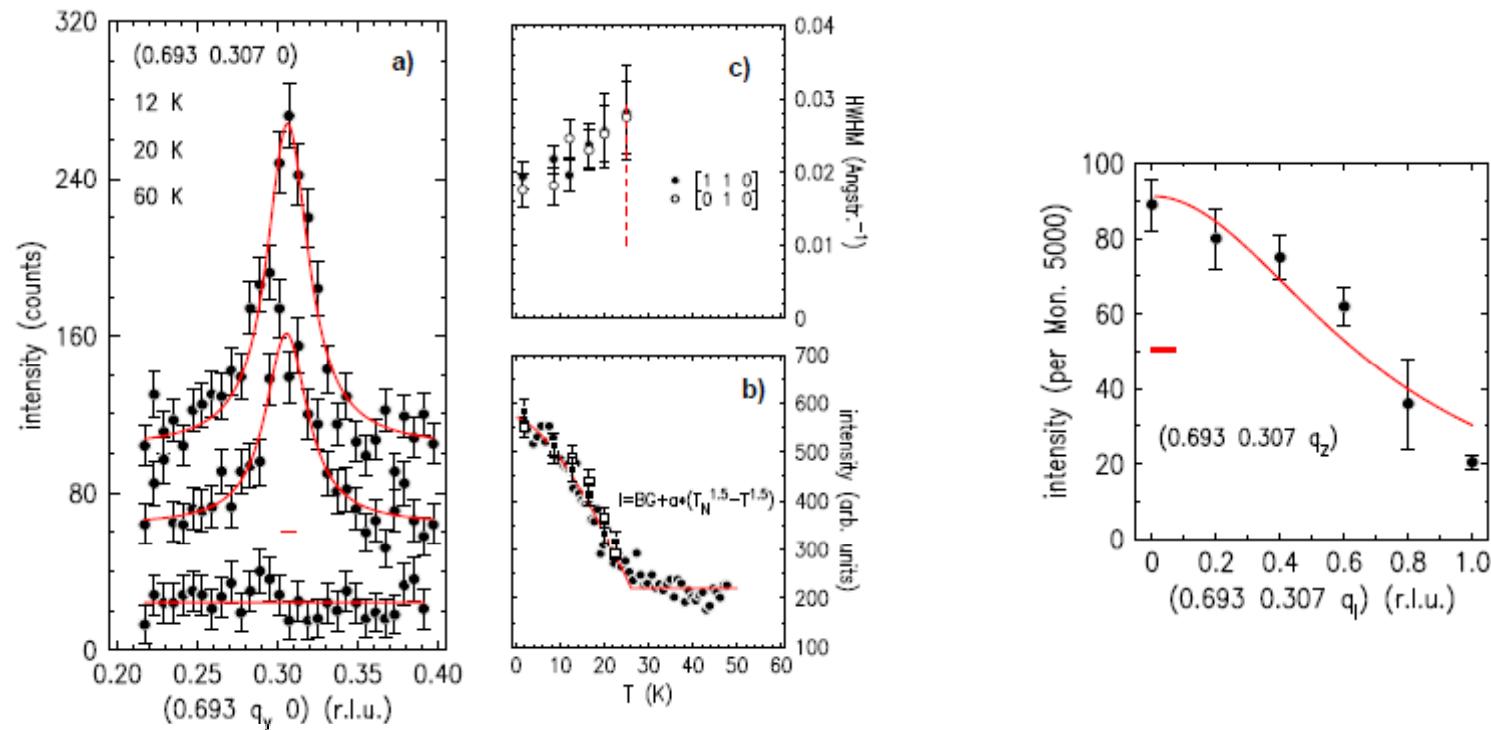
$$\left(\frac{d\sigma}{d\Omega dE'}\right)_{elas} \propto F(Q)^2 \left[ \frac{1}{2} \left(1 + \frac{L^2}{Q^2}\right) m_{a,b}^2 + \left(1 - \frac{L^2}{Q^2}\right) m_c^2 \right] \frac{1}{(\vec{q} - \vec{q}_0)^2 + \Delta q^2} \delta(\vec{Q} - \vec{q} - \vec{\tau}) \delta(\omega)$$

Intensity proportional  
to the square of the  
order moment

Orientation factor  
gives the direction of  
the order moment

Correlation length  
 $\Delta q \sim \xi^{-1}$

# $\text{Sr}_2\text{RuO}_4$ – order from disorder



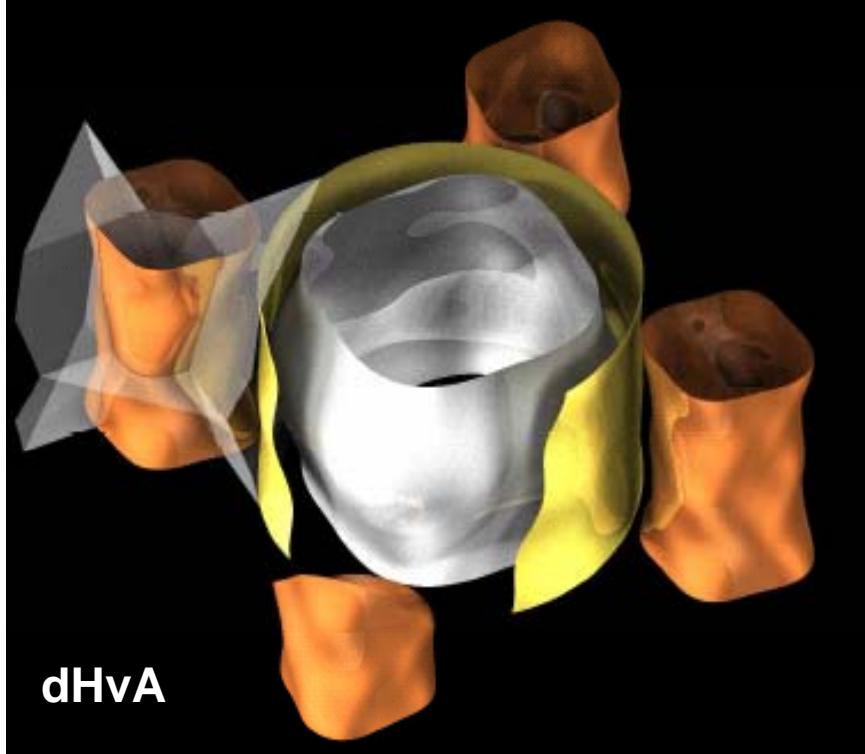
**Study :**  $Q = q_o + \tau$  ,  $q_o = (0.307, 0.307, 1)$  ,  $\tau = (1 \ 0 \ -1)$  [I4mmm structure]

Ordered moment:  $m = 0.3 \ \mu_B$  Néel temperature :  $T_N = 25 \text{ K}$

Planar correlation length :  $\xi_{a,b} \sim 50 \text{ \AA}$

along c : hardly correlated system

# $\text{Sr}_2\text{RuO}_4$ – mag. Order vs Fermi surface



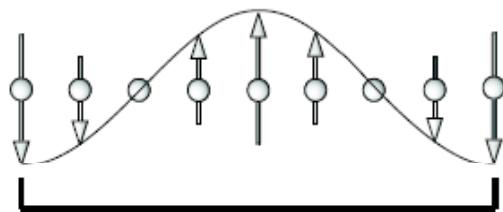
C. Bergemann et al.

$$\mathbf{q}_o = (0.307, 0.307, 1)$$

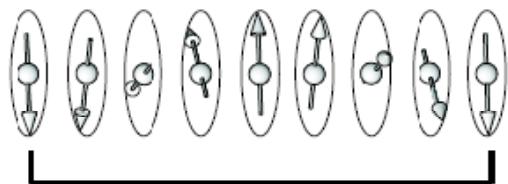
The order wave vector is 3D

Modulation along c of the  $\alpha$  and  $\beta$  (involved in nesting)

# $\text{Sr}_2\text{RuO}_4$ – spin density wave



M1 : SDW with  $m \parallel c$



M4 : helimagnet

(hkl)-indices	M1	M2	M3	M4	observed
(0.307 0.307 1)	1	1	1	1	1
(0.307 0.693 0)	0.61	0.05	1.10	0.28	0.51(4)
(0.307 0.307 3)	0.05	0.19	0.46	0.13	0.08(3)
(0.693 0.693 1)	0.23	0.17	0.05	0.20	0.27(5)
(0.693 0.693 3)	0.06	0.07	0.10	0.07	0.0(1)
(1.307 0.307 0)	0.11	0.05	0.06	0.07	0.17(5)
(1.307 0.693 1)	0.07	0.01	0.14	0.06	0.07(2)
(0.307 0.307 5)	0.00	0.04	0.12	0.03	0.00(4)

*FULLPROF- program*

magnetic Moments are  $\parallel$  to c  
consistent with the spin anisotropy observed in  $\text{Sr}_2\text{RuO}_4$

Origin: spin-orbit coupling

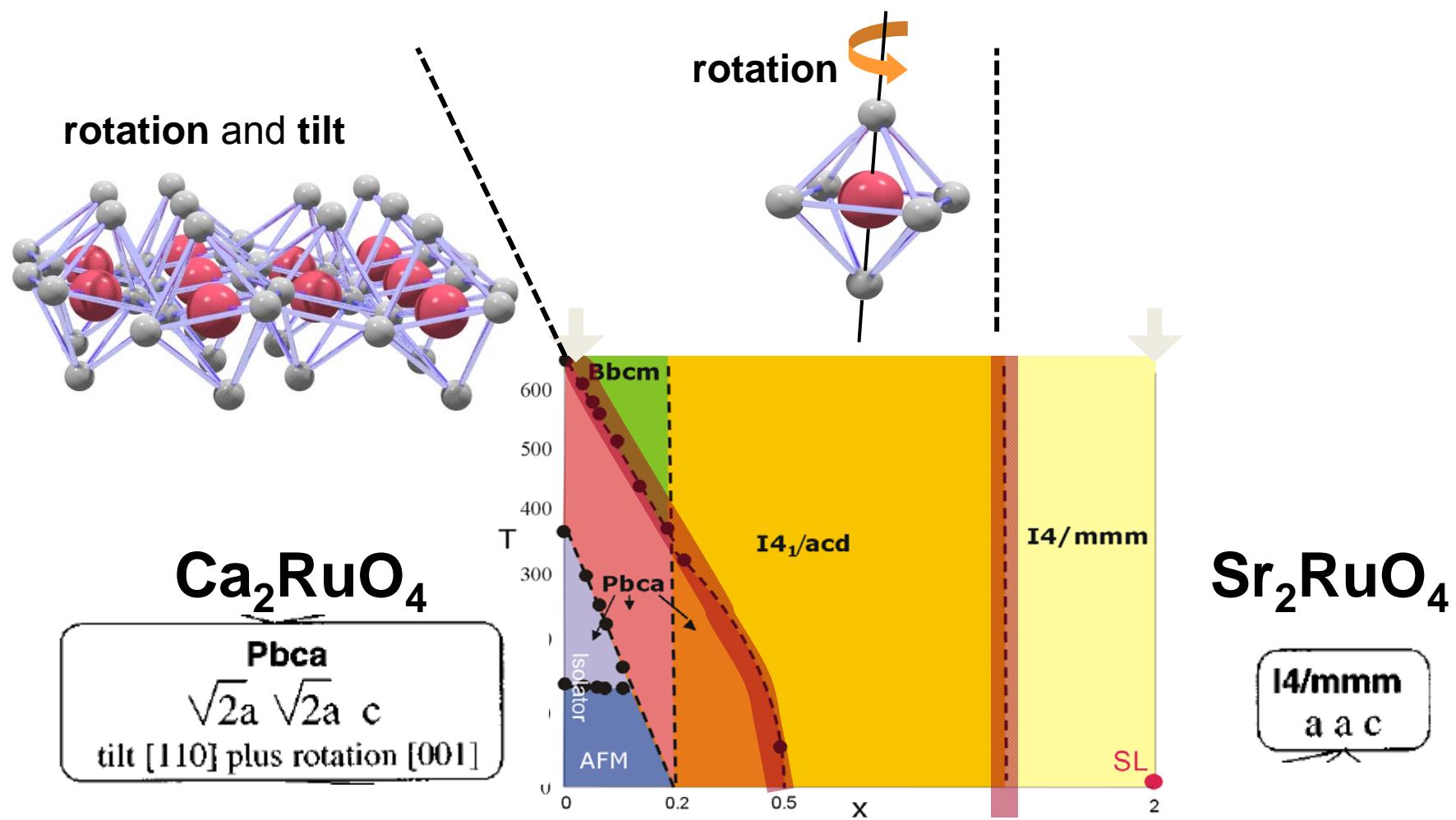
Powder diffraction measurements

Magnetic and nuclear structures

Phase diagram

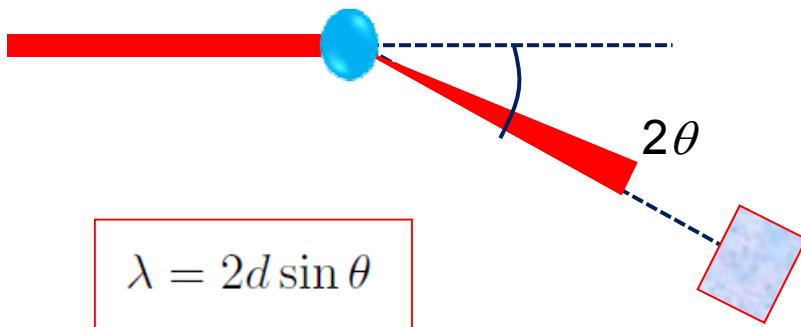
# $\text{Ca}_{2-x}\text{Sr}_x\text{RuO}_4$ : Structural properties

Isovalent substitution  $r_{\text{Ca}} < r_{\text{Sr}}$



# $\text{Ca}_2\text{RuO}_4$ : Nuclear structure

Incident neutron beam



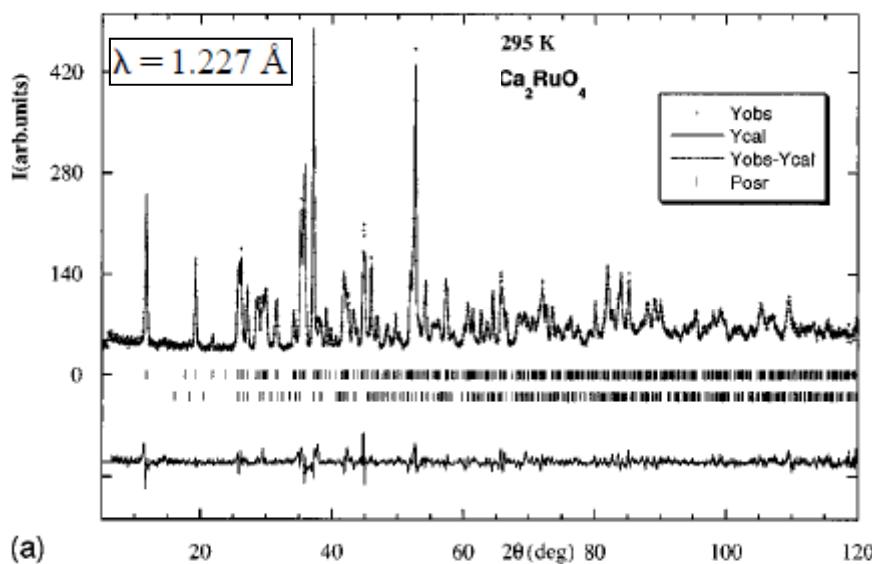
$$Q^2 = k_i^2 + k_f^2 - 2k_i k_f \cos 2\theta$$

$$= 2k_i^2 (1 - \cos 2\theta)$$

$$= 4k_i^2 \sin^2 \theta$$

$$Q = 2k_i \sin \theta$$

$$\frac{2\pi}{d} = 2 \frac{2\pi}{\lambda} \sin \theta$$



Bragg reflections :  $\text{Ca}_2\text{RuO}_4$

Bragg reflections:  $\text{CaRuO}_3$   
(impurities)

Friedt et al. PRB 63, 74432 (2001),  
Braden et al. PRB 58, 847 (1998)

# $\text{Ca}_2\text{RuO}_4$ : Magnetic structure

Mott Insulator  $\text{Ca}_2\text{RuO}_4$ :  $T < T_n$ , A-type AF order

Magnetic moments // b = elongation of octahedra

Magnetic moment  $\sim 1.3 \mu_B < 2\mu_B$  (localized  $S=1$ ,  $\text{Ru}^{4+}$ )

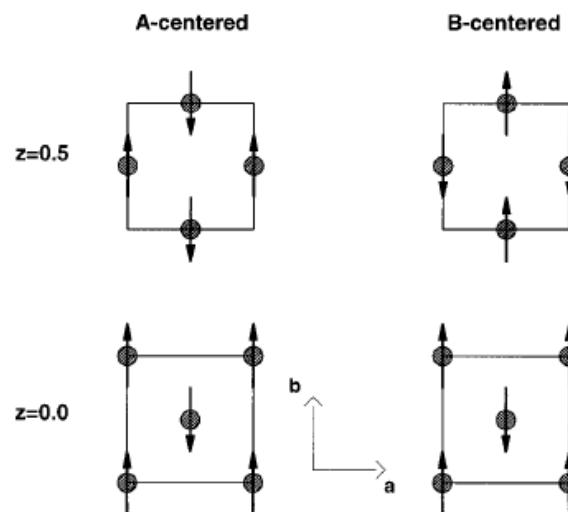
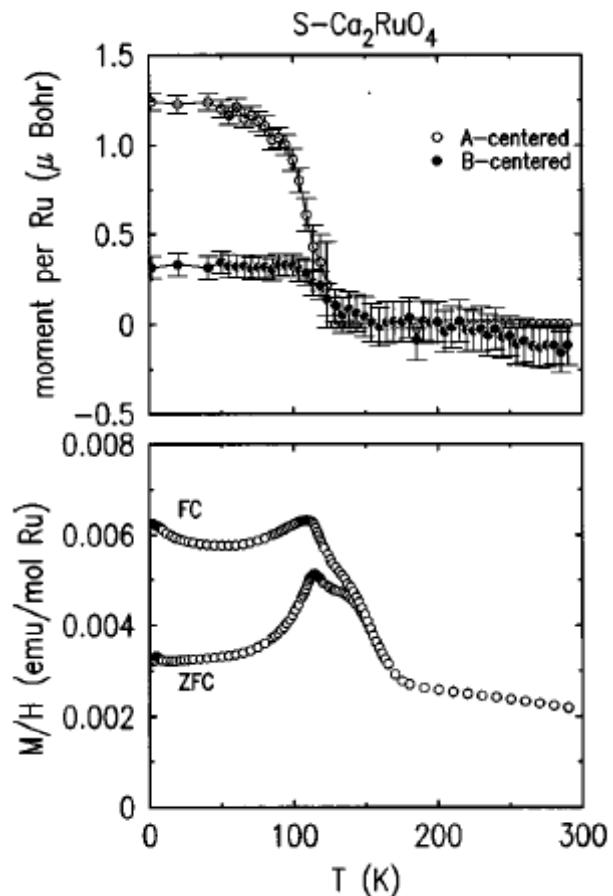


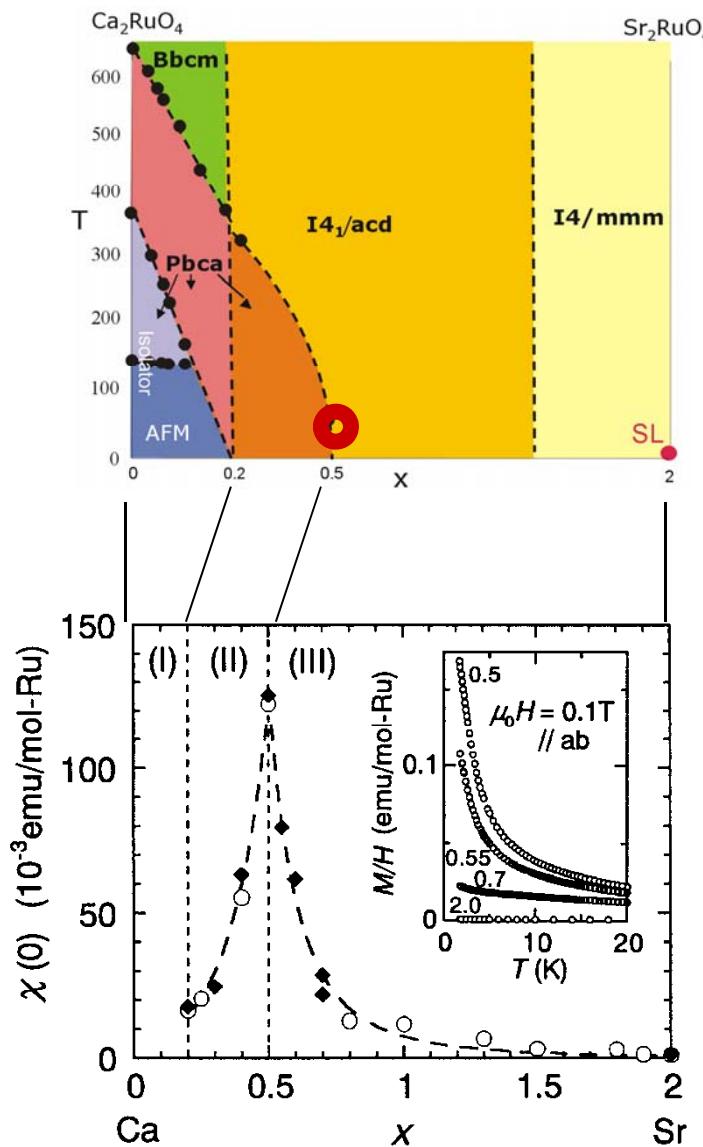
FIG. 10. Schematic picture of the two magnetic modes in space group  $Pbca$ . Only the spin directions of the Ru's at  $z=0.0$  and  $z=0.5$  are shown. The propagation vector of the A-centered mode is  $(1\ 0\ 0)$  ( $\text{La}_2\text{CuO}_4$  type) and that of the B-centered type is  $(0\ 1\ 0)$  ( $\text{La}_2\text{NiO}_4$  type).

Friedt et al. PRB 63, 74432 (2001),  
Braden et al. PRB 58, 847 (1998)

Polarized neutron diffraction  
(high quality sample)

Spin density maps

# $\text{Ca}_{2-x}\text{Sr}_x\text{RuO}_4$ : meta-magnetism



Intermediate Sr Content

$$0.2 < x < 0.5$$

## Metallic state

- near magnetic instability
- high specific heat
- metamagnetic transition

- octahedra tilt

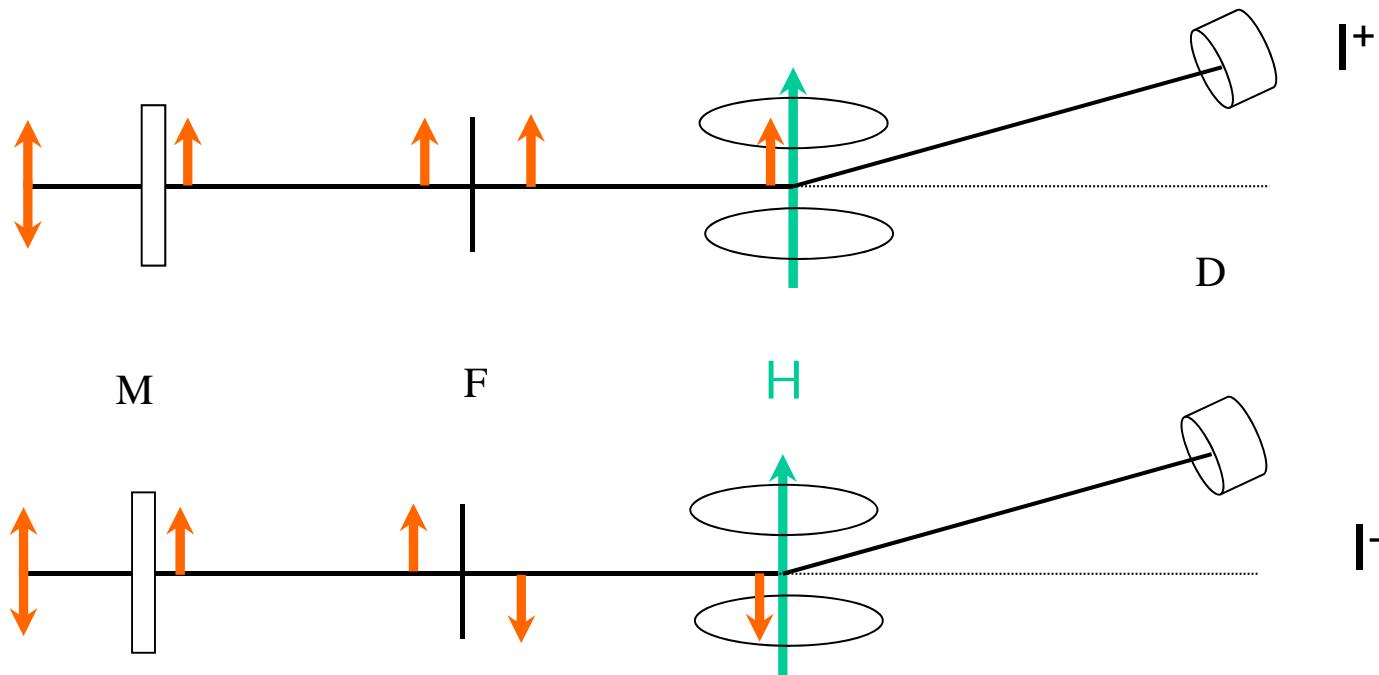
## Band structure :

narrowing of the  $\gamma$  band  
van Hove singularity shifts below  $E_F$

## Hall measurements

Sign change of charge carriers

# $\text{Ca}_{2-x}\text{Sr}_x\text{RuO}_4$ : spin density map



$$R = \frac{I_{\pm}}{I_{-}} = \left( \frac{F_N + F_M}{F_N - F_M} \right)^2 \quad I^{\pm} \propto (F_N \pm F_M)^2$$

$$F_M \ll F_N$$

$$R \cong 1 + 4 \frac{F_M}{F_N}$$

# $\text{Ca}_{2-x}\text{Sr}_x\text{RuO}_4$ : spin density map at $x=0.5$

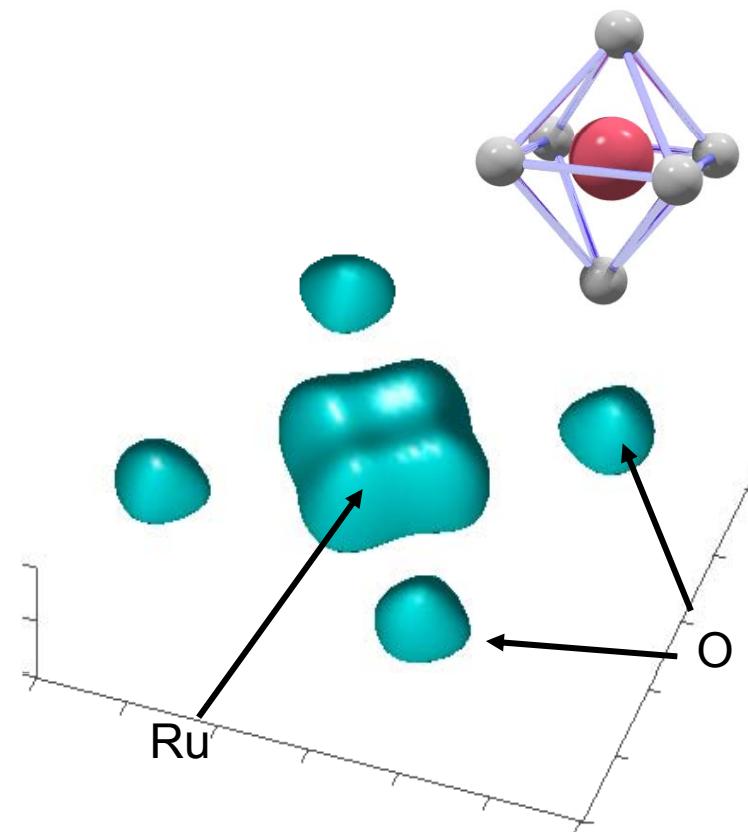
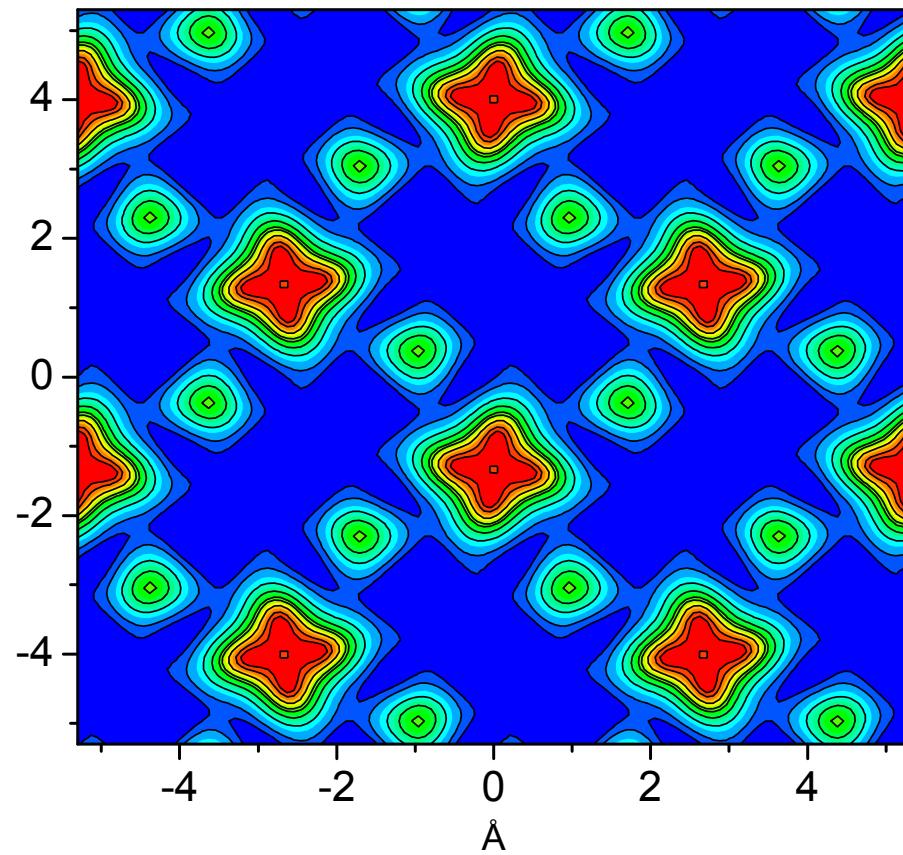
$0.35 \mu_B$  Ruthenium

→  $4d_{xy}$  orbitals ( $\gamma$  band)

$0.08 \mu_B$  Oxygen (in-plane) → significant amount of magnetization on oxygen

$0.01 \mu_B$  Oxygen (apical)

$\text{RuO}_2$  plane:



Inelastic neutron scattering  
(single crystal)

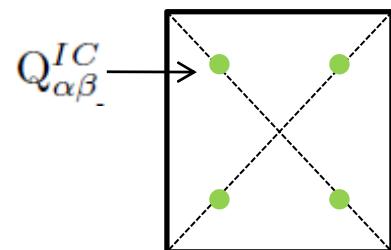
External magnetic field

# $\text{Ca}_{1.8}\text{Sr}_{0.2}\text{RuO}_4$ : meta-magnetism

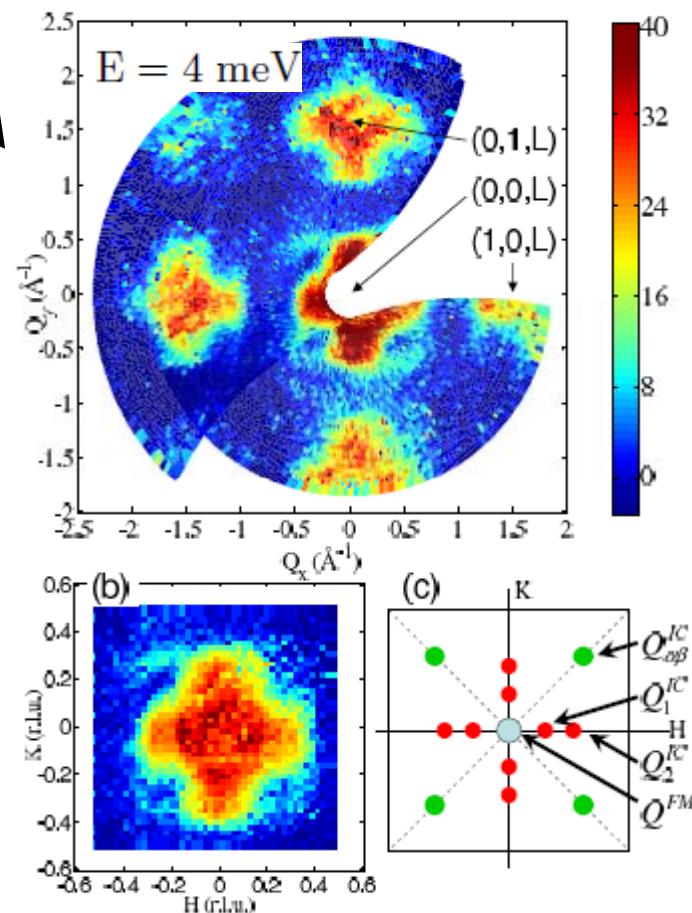
INS:

$$\frac{d^2\sigma}{d\Omega d\omega} \propto \frac{F^2(Q)}{1 - \exp(-\frac{\hbar\omega}{k_B T})} \cdot \chi''(Q, \omega)$$

$\text{Sr}_2\text{RuO}_4$

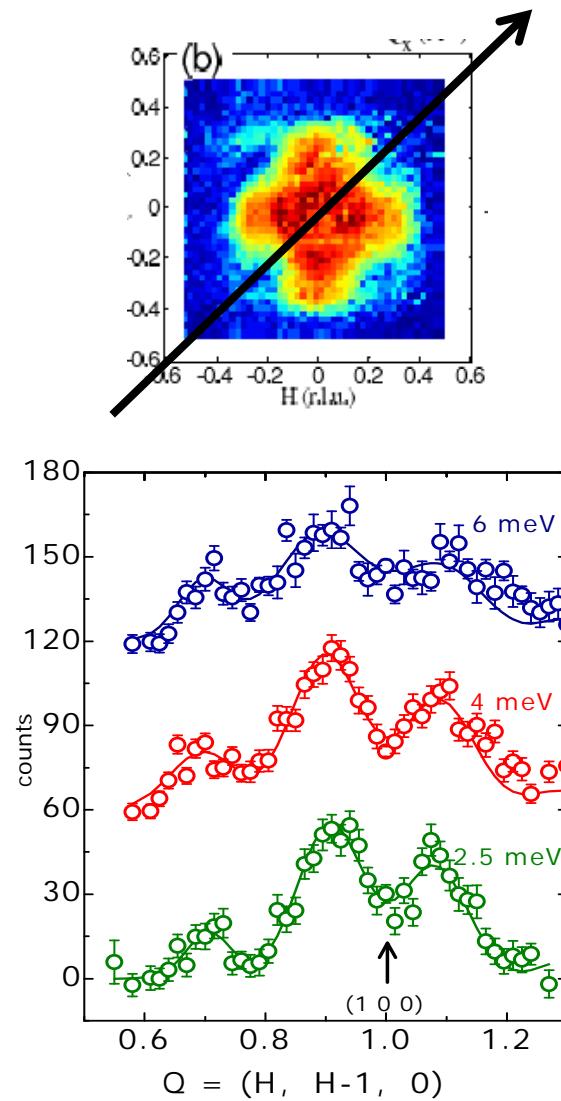
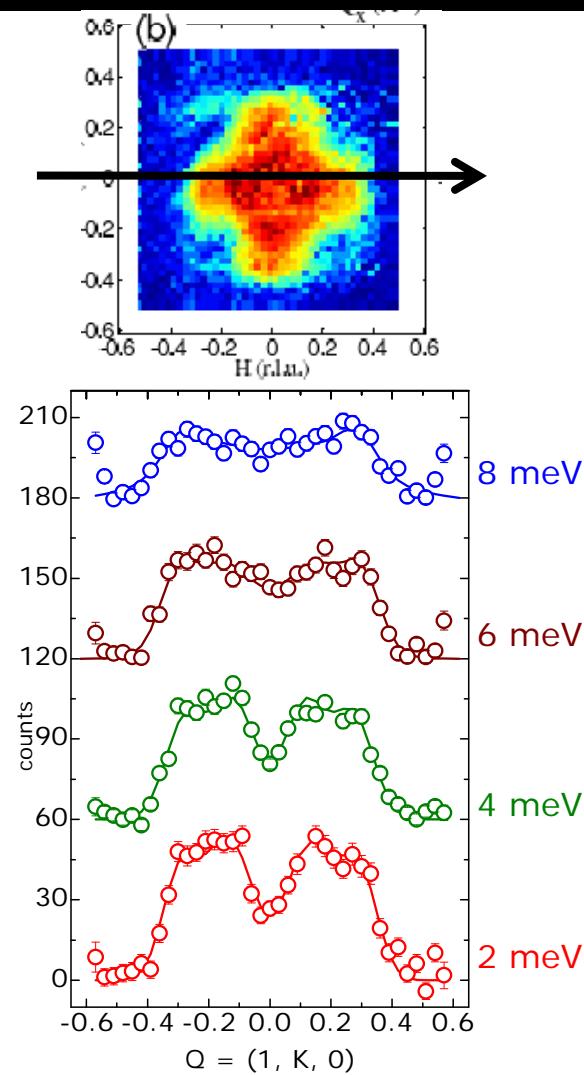


$\text{Ca}_{1.8}\text{Sr}_{0.2}\text{RuO}_4$



Steffens et al. Cond-mad(2010)

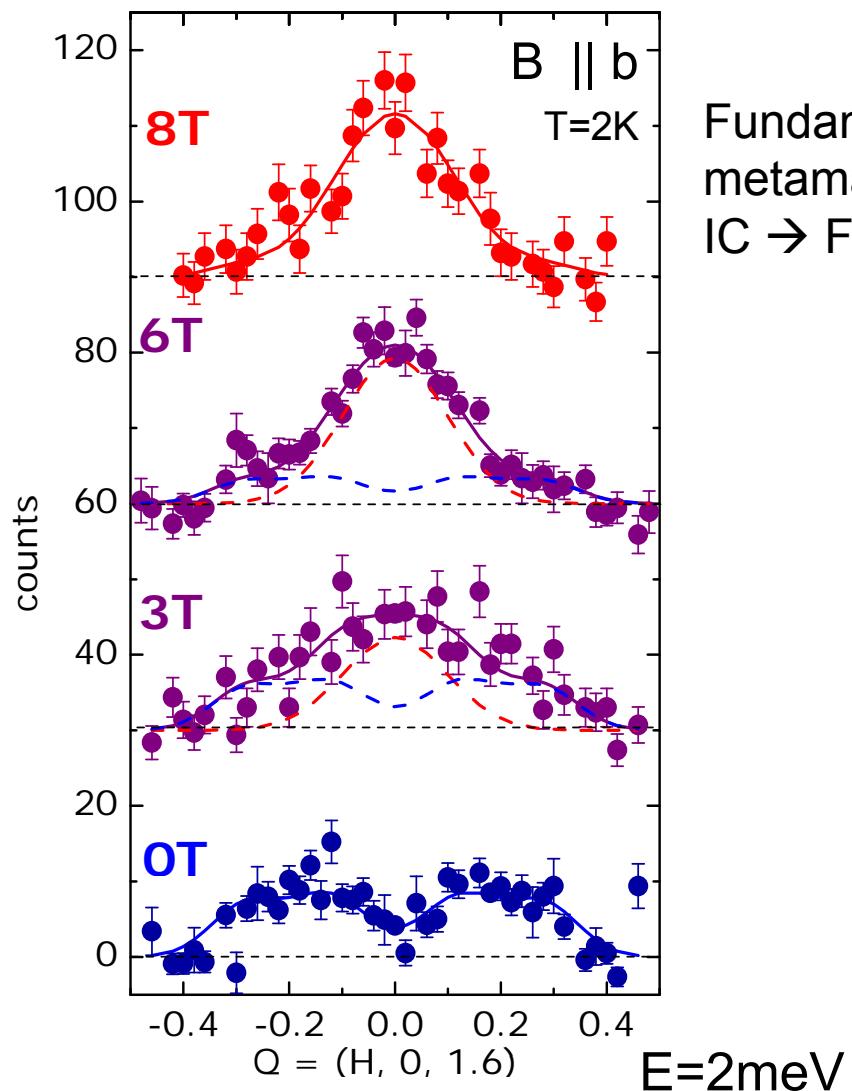
# $\text{Ca}_{1.8}\text{Sr}_{0.2}\text{RuO}_4$ : meta-magnetism



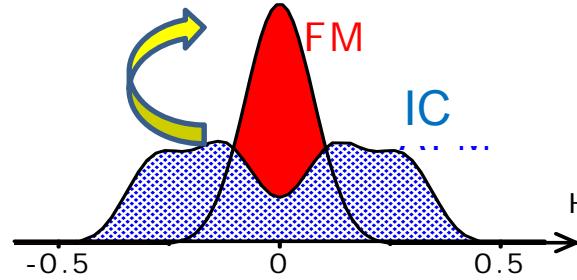
contributions from several  $\mathbf{Q}$   
( $q_1=0.12$ ,  $q_2=0.27$ )

Steffens et al. Cond-mad(2010)

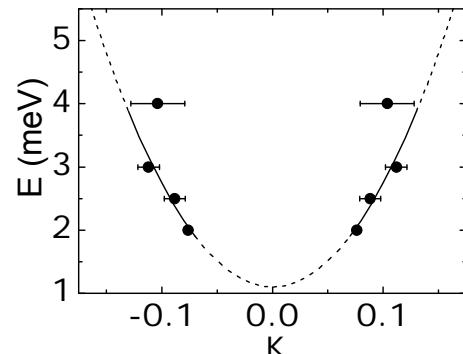
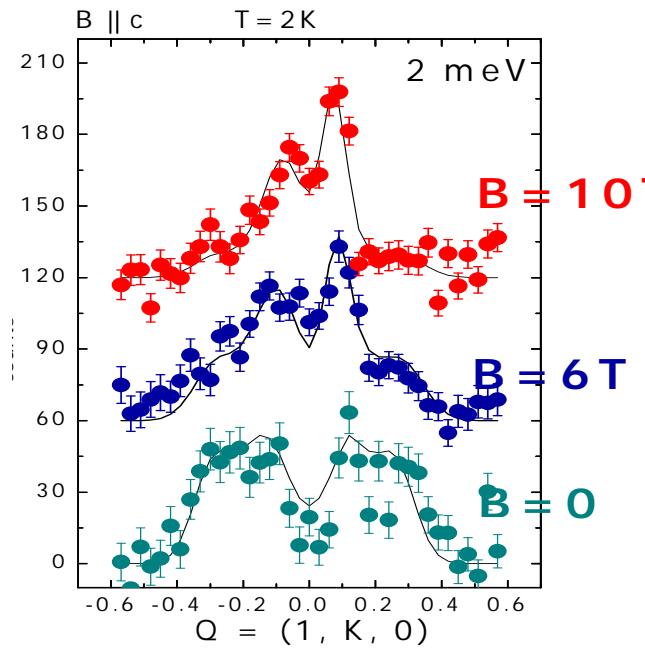
# $\text{Ca}_{1.8}\text{Sr}_{0.2}\text{RuO}_4$ : meta-magnetism



Fundamental change of magnetic fluctuations at  
metamagnetic transition  
 $\text{IC} \rightarrow \text{FM}$

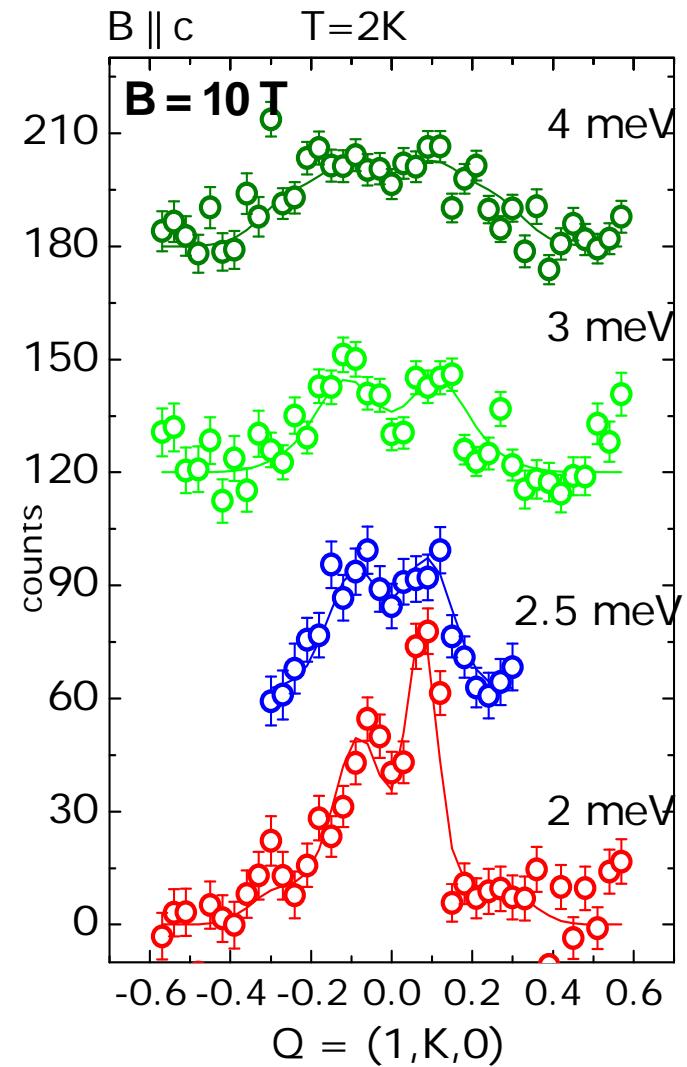


# $\text{Ca}_{1.8}\text{Sr}_{0.2}\text{RuO}_4$ : FM-magnons

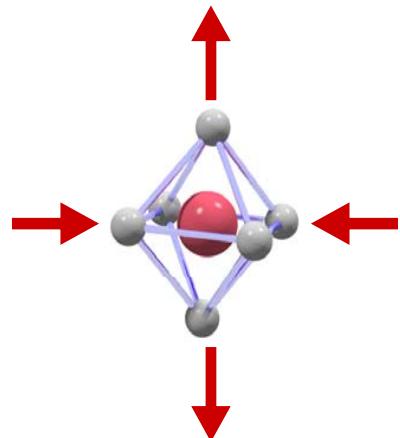


Dispersive mode (Magnon)  
 $\omega = D q^2$

Field induced FM state



# $\text{Ca}_{1.8}\text{Sr}_{0.2}\text{RuO}_4$ : structural effects



Distortion of the octahedron

